

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 :  C12N 15/00		A2	(11) International Publication Number: WO 00/65038  (43) International Publication Date: 2 November 2000 (02.11.00)
(21) International Application Number: PCT/US00/11410  (22) International Filing Date: 28 April 2000 (28.04.00)		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(30) Priority Data: 60/135,119 28 April 1999 (28.04.99) US 09/561,190 27 April 2000 (27.04.00) US		(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US Not furnished (CIP) Filed on 27 April 2000 (27.04.00)	
(71) Applicant (for all designated States except US): TARGETED GENETICS CORPORATION [US/US]; Suite 100, 1100 Olive Way, Seattle, WA 98101 (US).		Published Without international search report and to be republished upon receipt of that report.	
(72) Inventors; and (75) Inventors/Applicants (for US only): LOCKERT, Dara, H. [US/US]; 5240 36th Avenue, N.E., Seattle, WA 98105 (US). LYNCH, Carmel, M. [US/US]; 15016 78th Avenue, N.E., Kenmore, WA 98028 (US).		(74) Agents: POLIZZI, Catherine, M. et al.; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).	
(54) Title: METHODS, COMPOSITIONS, AND CELLS FOR ENCAPSIDATING RECOMBINANT VECTORS IN AAV PARTICLES			
(57) Abstract <p>Isolated recombinant polynucleotides comprising elements which promote encapsidation into AAV particles, packaging cells comprising the recombinant polynucleotides, and methods for their use are provided in the present invention. These isolated recombinant polynucleotides comprise a non-AAV ITR encapsidation element (such as the P1 sequence located within the AAV S1 integration site of human chromosome 19) operably linked to one or more heterologous genes to be encapsidated. The constructs may be either integrated into a mammalian cell genome, maintained episomally, or provided transiently.</p>			

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## METHODS, COMPOSITIONS, AND CELLS FOR ENCAPSIDATING RECOMBINANT VECTORS IN AAV PARTICLES

### CROSS-REFERENCE TO RELATED APPLICATIONS

5 This application claims priority to U.S. provisional application 60/135,119 (converted from U.S. Serial No. 09/301,514), filed April 28, 1999, and U.S. application (serial number not yet assigned) filed April 27, 2000, which are incorporated by reference in their entirety.

10 **STATEMENT OF RIGHTS TO INVENTIONS MADE UNDER  
FEDERALLY SPONSORED RESEARCH**

(Not applicable)

### TECHNICAL FIELD

15 This invention is in the field of recombinant DNA constructs for gene delivery. More specifically, the invention is in the field of recombinant DNA constructs for use in the production of recombinant DNA vectors for gene delivery.

### BACKGROUND ART

20 Vectors based on adeno-associated virus (AAV) are believed to have utility for gene therapy but a significant obstacle has been the difficulty in generating such vectors in amounts that would be clinically useful for human gene therapy applications. This is a particular problem for *in vivo* applications such as direct delivery to the lung. Another important goal in the gene therapy context, discussed in more detail herein, is the production of vector preparations that are essentially free of replication-competent virions. The following description briefly summarizes studies involving adeno-associated virus and AAV vectors, and then describes a number of novel improvements according to the present invention that are useful for efficiently generating high titer recombinant AAV vector (rAAV) preparations suitable for use in gene therapy.

30 Adeno-associated virus is a defective parvovirus that grows only in cells in which certain functions are provided by a co-infecting helper virus. General reviews of AAV may

be found in, for example, Carter, 1989, Handbook of Parvoviruses, Vol. I, pp. 169-228, and Berns, 1990, Virology, pp. 1743-1764, Raven Press, (New York). Examples of co-infecting viruses that provide helper functions for AAV growth and replication are adenoviruses, herpesviruses and, in some cases, poxviruses such as vaccinia. The nature of 5 the helper function is not entirely known but it appears that the helper virus indirectly renders the cell permissive for AAV replication. This belief is supported by the observation that AAV replication may occur at low efficiency in the absence of helper virus co-infection if the cells are treated with agents that are either genotoxic or that disrupt the cell cycle.

10        Although AAV may replicate to a limited extent in the absence of helper virus, under such conditions as noted above, more generally infection of cells with AAV in the absence of helper functions results in the proviral AAV genome integrating into the host cell genome. Unlike other viruses, such as many retroviruses, it appears that AAV generally integrates into a unique position in the human genome. Thus, it has been 15 reported that, in human cells, AAV integrates into a unique position (referred to as an "AAV integration site") which is located on chromosome 19. See, e.g., Weitzman et al. (1994) Proc. Natl. Acad. Sci. USA 91: 5808-5812. If host cells having an integrated AAV are subsequently superinfected with a helper virus such as adenovirus, the integrated AAV genome can be rescued and replicated to yield a burst of infectious progeny AAV particles. 20        A sequence at the AAV integration site, referred to as "P1", shares limited homology with the AAV inverted terminal repeat (ITR) sequence, exhibits Rep binding activity in a cell-free replication system, and is believed to be involved in both the integration and rescue of AAV. See, e.g., Weitzman *et al.*, *id.*, Kotin *et al.* (1992) EMBO J. 11:5071-5078, and Urcelay *et al.*, J. Virol. 69: 2038-2046. The fact that integration of AAV appears to be 25 efficient and site-specific makes AAV a useful vector for introducing genes into cells for uses such as human gene therapy.

AAV has a very broad host range without any obvious species or tissue specificity and can replicate in virtually any cell line of human, simian or rodent origin provided that an appropriate helper is present. AAV is also relatively ubiquitous and has been isolated 30 from a wide variety of animal species including most mammalian and several avian species.

AAV is not associated with the cause of any disease. Nor is AAV a transforming or oncogenic virus, and integration of AAV into the genetic material of human cells generally does not cause significant alteration of the growth properties or morphological characteristics of the host cells. These properties of AAV also recommend it as a 5 potentially useful human gene therapy vector because most of the other viral systems proposed for this application, such as retroviruses, adenoviruses, herpesviruses, or poxviruses, are disease-causing.

Although various serotypes of AAV are known to exist, they are all closely related functionally, structurally, and at the genetic level (see, e.g., Blacklow, 1988, pp. 165-174 10 of Parvoviruses and Human Disease, J.R. Pattison (ed.); and Rose, 1974, Comprehensive Virology 3: 1-61). For example, all AAV serotypes apparently exhibit very similar replication properties mediated by homologous *rep* genes; and all bear three related capsid proteins such as those expressed in AAV2. The degree of relatedness is further suggested by heteroduplex analysis which reveals extensive cross-hybridization between serotypes 15 along the length of the genome; and the presence of analogous self-annealing segments at the termini that correspond to inverted terminal repeats (ITRs). The similar infectivity patterns also suggest that the replication functions in each serotype are under similar regulatory control. Thus, although the AAV2 serotype was used in various illustrations of the present invention that are set forth in the Examples, general reference to AAV herein 20 encompasses all AAV serotypes, and it is fully expected that the methods and compositions disclosed herein will be applicable to all AAV serotypes.

AAV particles comprise a proteinaceous capsid having three capsid proteins, VP1, VP2 and VP3, which enclose a DNA genome. The AAV2 DNA genome, for example, is a linear single-stranded DNA molecule having a molecular weight of about  $1.5 \times 10^6$  daltons 25 and a length of about 5 kb. Individual particles package only one DNA molecule strand, but this may be either the "plus" or "minus" strand. Particles containing either strand are infectious and replication occurs by conversion of the parental infecting single strand to a duplex form and subsequent amplification of a large pool of duplex molecules from which progeny single strands are displaced and packaged into capsids. Duplex or single-strand 30 copies of AAV genomes can be inserted into bacterial plasmids or phagemids and transfected into adenovirus-infected cells; these techniques have facilitated the study of AAV genetics and the development of AAV vectors.

The AAV genome, which encodes proteins mediating replication and encapsidation of the viral DNA, is generally flanked by two copies of inverted terminal repeats (ITRs). In the case of AAV2, for example, the ITRs are each 145 nucleotides in length, flanking a unique sequence region of about 4470 nucleotides that contains two main open reading frames for the *rep* and *cap* genes (Srivastava et al., 1983, *J. Virol.*, 45:555-564; Hermonat et al., 1984, *J. Virol.* 51:329-339; Tratschin et al., 1984, *J. Virol.*, 51:611-619). The AAV2 unique region contains three transcription promoters p5, p19, and p40 (Laughlin et al., 1979, *Proc. Natl. Acad. Sci. USA*, 76:5567-5571) that are used to express the *rep* and *cap* genes. The ITR sequences are required in *cis* and are sufficient to provide a functional origin of replication (*ori*), signals required for integration into the cell genome, and efficient excision and rescue from host cell chromosomes or recombinant plasmids. It has also been shown that the ITR can function directly as a transcription promoter in an AAV vector. See Carter et al., U.S. Patent No. 5,587,308.

The *rep* and *cap* gene products are required in *trans* to provide functions for replication and encapsidation of viral genome, respectively. Again, using AAV2 for purposes of illustration, the *rep* gene is expressed from two promoters, p5 and p19, and produces four proteins. Transcription from p5 yields an unspliced 4.2 kb mRNA encoding a first Rep protein (Rep78), and a spliced 3.9 kb mRNA encoding a second Rep protein (Rep68). Transcription from p19 yields an unspliced mRNA encoding a third Rep protein (Rep52), and a spliced 3.3 kb mRNA encoding a fourth Rep protein (Rep40). Thus, the four Rep proteins all comprise a common internal region sequence but differ in their amino and carboxyl terminal regions. Only the large Rep proteins (i.e. Rep78 and Rep68) are required for AAV duplex DNA replication, but the small Rep proteins (i.e. Rep52 and Rep40) appear to be needed for progeny, single-strand DNA accumulation (Chejanovsky & Carter, 1989, *Virology* 173:120-128). Rep68 and Rep78 bind specifically to the hairpin conformation of the AAV ITR and possess several enzyme activities required for resolving replication at the AAV termini. Rep52 and Rep40 have none of these properties. Reports by C. Hölscher et al. (1994, *J. Virol.* 68:7169-7177; and 1995, *J. Virol.* 69:6880-6885) have suggested that expression of Rep78 or Rep 68 may in some circumstances be sufficient for infectious particle formation.

The Rep proteins, primarily Rep78 and Rep68, also exhibit pleiotropic regulatory activities including positive and negative regulation of AAV genes and expression from

some heterologous promoters, as well as inhibitory effects on cell growth (Tratschin et al., 1986, Mol. Cell. Biol. 6:2884-2894; Labow et al., 1987, Mol. Cell. Biol., 7:1320-1325; Khleif et al., 1991, Virology, 181:738-741). The AAV p5 promoter is negatively auto-regulated by Rep78 or Rep68 (Tratschin et al., 1986). Due to the inhibitory effects of expression of *rep* on cell growth, constitutive expression of *rep* in cell lines has not been readily achieved. For example, Mendelson et al. (1988, Virology, 166:154-165) reported very low expression of some Rep proteins in certain cell lines after stable integration of AAV genomes.

The capsid proteins VP1, VP2, and VP3 share a common overlapping sequence, but VP1 and VP2 contain additional amino terminal sequences. All three proteins are encoded by the same *cap* gene reading frame typically expressed from a spliced 2.3 kb mRNA transcribed from the p40 promoter. VP2 and VP3 can be generated from this mRNA by use of alternate initiation codons. Generally, transcription from p40 yields a 2.6 kb precursor mRNA which can be spliced at alternative sites to yield two different transcripts of about 2.3 kb. VP2 and VP3 can be encoded by either transcript (using either of the two initiation sites), whereas VP1 is encoded by only one of the transcripts. VP3 is the major capsid protein, typically accounting for about 90% of total virion protein. VP1 is coded from a minor mRNA using a 3' donor site that is 30 nucleotides upstream from the 3' donor used for the major mRNA that encodes VP2 and VP3. All three proteins are required for effective capsid production. Mutations which eliminate all three proteins (Cap-negative) prevent accumulation of single-strand progeny AAV DNA, whereas mutations in the VP1 amino-terminus ("Lip-negative" or "Inf-negative") can permit assembly of single-stranded DNA into particles but the infectious titer is greatly reduced.

The genetic analysis of AAV described above was largely based upon mutational analysis of AAV genomes cloned into bacterial plasmids. In early work, molecular clones of infectious genomes of AAV were constructed by insertion of double-strand molecules of AAV into plasmids by procedures such as GC-tailing (Samulski et al., 1982, Proc. Natl. Acad. Sci. USA, 79:2077-2081), addition of synthetic linkers containing restriction endonuclease cleavage sites (Laughlin et al., 1983, Gene, 23:65-73) or by direct, blunt-end ligation (Senapathy & Carter, 1984, J. Biol. Chem., 259:4661-4666). Transfection of such AAV recombinant plasmids into mammalian cells that were also infected with an appropriate helper virus, such as adenovirus, resulted in rescue and excision of the AAV

genome free of any plasmid sequence, replication of the rescued genome and generation of progeny infectious AAV particles. This provided the basis for performing genetic analysis of AAV as summarized above and permitted construction of AAV transducing vectors.

There are at least two desirable features of any AAV vector designed for use in 5 human gene therapy. The first is that the transducing vector be generated at titers sufficiently high to be practicable as a delivery system. This is especially important for gene therapy strategies aimed at *in vivo* delivery of the vector. For example, it is likely that for many desirable applications of AAV vectors, such as treatment of cystic fibrosis by direct *in vivo* delivery to the airway, the desired dose of transducing vector may be from 10 10<sup>8</sup> to 10<sup>10</sup>, or, in some cases, in excess of 10<sup>10</sup> particles. Secondly, the vector preparations are preferably essentially free of wild-type AAV virus (or any replication-competent 15 AAV). The attainment of high titers of AAV vectors has been difficult for several reasons including preferential encapsidation of wild-type AAV genomes (if they are present or generated by recombination), and the difficulty in generating sufficient complementing functions such as those provided by the wild-type *rep* and *cap* genes. Useful cell lines expressing such complementing functions have been especially difficult to generate, in part because of pleiotropic inhibitory functions associated with the *rep* gene products. Thus, 20 cell lines in which the *rep* gene is integrated and expressed may grow slowly or express *rep* at very low levels.

Based on genetic analyses described above, the general principles of AAV vector 25 construction have been described. See, for example, Carter, 1992, Current Opinions in Biotechnology, 3:533-539; Muzyczka, 1992, Curr. Topics in Microbiol. and Immunol., 158:97-129. AAV vectors are generally constructed in AAV recombinant plasmids by substituting portions of the AAV coding sequence with foreign DNA to generate a recombinant AAV (rAAV) vector or "pro-vector". It is well established in the AAV 30 literature that, in the vector, the terminal (ITR) portions of the AAV sequence must be retained intact because these regions are required in *cis* for several functions, including excision from the plasmid after transfection, replication of the vector genome and integration and rescue from a host cell genome. In some situations, providing a single ITR may be sufficient to carry out the functions normally associated with two wild-type ITRs (see, e.g., Samulski et al., WO 94/13788, published 23 June 1994).

As described in the art, AAV ITRs generally consist of a palindromic hairpin (HP) structure and a 20-nucleotide region, designated the D-sequence, that is not involved in the HP formation. Wang et al. identified AAV ITR sequences required for rescue, replication and encapsidation of the AAV genome (Wang et al., 1996, *J. Virol.* 70:1668-1677). Wang 5 et al. (1996) reported the following: (i) two HP structures and one D-sequence are sufficient for efficient rescue and preferential replication of the AAV DNA, (ii) two HP structures alone allow a low level rescue and replication of the AAV DNA, but rescue and replication of the AAV vector DNA sequences also occur in the absence of the D- sequences, (iii) one HP structure and two D-sequences, but not one HP structure and one 10 D-sequence, also allow rescue and replication of the AAV as well as the vector DNA sequences, (iv) one HP structure alone or two D-sequences but not one D-sequence alone allows replication of full length plasmid DNA but no rescue of the AAV genome occurs, (v) no rescue-replication occurs in the absence of the HP structures and D-sequence, (vi) in 15 the absence of the D-sequences, the HP structures are insufficient for successful encapsidation of the AAV genomes, and (vii) the AAV genomes containing only one ITR structure can be packaged into biologically active virions. Thus, Wang et al. conclude that the D-sequence plays a crucial role in the efficient rescue and selective replication and encapsidation of the AAV genome. Subsequent studies published by this group suggested that the first 10 nucleotides of the D- sequence proximal to the hairpin structure of the ITR 20 are necessary and sufficient for optimal rescue and replication of the AAV genome (Wang et al., 1997, *J. Virol.* 71:3077-3082). Thus, this work identifies the D-sequence as required for packaging of the AAV genome.

The vector can then be packaged into an AAV particle to generate an AAV 25 transducing virus by transfection of the vector into cells that are infected by an appropriate helper virus such as adenovirus or herpesvirus; provided that, in order to achieve replication and encapsidation of the vector genome into AAV particles, the vector must generally be complemented for any AAV functions required *in trans*, particularly *rep* and *cap*, that were deleted in construction of the vector.

Such AAV vectors are among a small number of recombinant virus vector systems 30 which have been shown to have utility as *in vivo* gene transfer agents (reviewed in Carter, 1992; Muzyczka, 1992) and thus are potentially of great importance for human gene therapy. AAV vectors are capable of high-frequency transduction and expression in a

variety of cells including cystic fibrosis (CF) bronchial and nasal epithelial cells (see, e.g., Flotte et al., 1992, Am. J. Respir. Cell Mol. Biol. 7:349-356; Egan et al., 1992, Nature, 358:581-584; Flotte et al., 1993a, J. Biol. Chem. 268:3781-3790; and Flotte et al., 1993b, Proc. Natl. Acad. Sci. USA, 93:10163-10167); human bone marrow-derived erythroleukemia cells (see, e.g., Walsh et al., 1992, Proc. Natl. Acad. Sci. USA, 89:7257-7261); as well as brain, eye and muscle cells. AAV may not require active cell division for transduction and expression which would be another clear advantage over retroviruses, especially in tissues such as the human airway epithelium where most cells are terminally differentiated and non-dividing.

There is a significant need for methods that can be used to efficiently generate recombinant vectors encapsidated in AAV particles that are essentially free of wild-type or other replication-competent AAV; and a corresponding need for cell lines that can be used to effectively generate such recombinant vectors. The present invention provides methods, compositions, and cells for the production of high-titer, AAV particle-encapsidated, recombinant vector preparations.

All publications and patent applications cited herein are hereby incorporated by reference in their entirety.

#### SUMMARY OF THE INVENTION

The present invention provides compositions and methods that, when operably linked in *cis* to a heterologous gene, promote encapsidation of the heterologous gene into an AAV particle, wherein the in *cis* encapsidation function is provided by a polynucleotide (i.e., an encapsidation element) other than an AAV ITR or preferably, other than a D-sequence of an AAV ITR. In particular, the inventors have found that by using one or more non-AAV ITR encapsidation elements in operable linkage with a heterologous gene, and additionally providing AAV rep and cap gene products, it is possible to obtain encapsidation of the heterologous gene in an AAV particle. As described and exemplified herein, heterologous gene sequences in operable linkage with a non-AAV ITR encapsidation element can be integrated into the chromosome of a host cell or can be maintained extrachromosomally as an episome. The methods and compositions of the present invention can be used to generate stable producer cells that are capable of supporting production of a very large burst of AAV particles containing a recombinant

vector (recombinant polynucleotide), upon infection with a suitable helper virus (such as adenovirus) or provision of helper functions.

Accordingly, in one embodiment, the invention provides an isolated recombinant polynucleotide sequence comprising a heterologous gene operably linked to an encapsidation element other than an AAV ITR or a D-sequence of an AAV ITR. In some of these embodiments, the encapsidation element is a P1 element, as described herein.

In additional embodiments, the invention provides methods for producing high-titer stocks of recombinant vectors containing a foreign gene encapsidated in an AAV particle, by providing a mammalian cell which produces AAV rep and cap gene products and which contains the recombinant vector comprising a heterologous gene operably linked to an encapsidation element other than an AAV ITR or preferably, other than a D-sequence of an AAV ITR.

The invention also provides compositions and methods for producing cell lines which comprise a recombinant vector comprising a heterologous gene operably linked to an encapsidation element other than an AAV ITR or a D-sequence of an AAV ITR, which synthesize AAV rep and cap gene products, and which encapsidate the recombinant vector in an AAV particle; cells and cell lines produced thereby; compositions and methods for high-efficiency packaging of a recombinant vector containing a heterologous gene; and recombinant vectors packaged according to the method of the invention.

20

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts a nucleotide sequence of a SmaI fragment comprising a P1 element. SmaI sites are underlined.

Figure 2 depicts a nucleotide sequence alignment between the nucleotide sequence of a 62-nucleotide P1 encapsidation element (upper line) and nucleotides 145-79 of AAV2 ITR (lower line). The terminal resolution site is underlined, a Rep68/Rep78 binding site is indicated in bold, and the 20-nucleotide D sequence of the AAV2 ITR is italicized and in bold. Vertical lines indicate nucleotide identity. A gap, indicated by dashes, of five nucleotides was introduced into the P1 sequence for optimal alignment.

Figure 3 depicts nucleotide sequence alignments between the nucleotide sequence of a 62-nucleotide P1 encapsidation element (upper lines) and nucleotides of ITRs of various AAV serotypes (lower lines). The terminal resolution site is underlined, a

Rep68/Rep78 binding site is indicated in bold and vertical lines indicate nucleotide identity. As with the alignment with the AAV2 ITR shown in Fig. 2, a gap, indicated by dashes, was introduced into the P1 sequences for optimal alignment.

5 Figure 4 shows a map of the p5repCapDHFR plasmid.

Figure 5 shows a map of the P1RCD plasmid.

10 Figure 6 depicts an autoradiograph showing results of experiments performed to determine the sizes of recombinant vectors encapsidated in AAV particles, as described in Example 3. Numbers on the left-hand side are sizes, in kilobases, of DNA. Lane 1, 4.8 kb Bgl II to Nae I fragment from plasmid P1RCD; lane 2, 10<sup>8</sup> DRP of lysate from C29 cells; lane 3, 10<sup>8</sup> DRP of lysate from C29 cells treated with DNase; lane 4, 10<sup>9</sup> DRP of lot 1 purified virions from P1/ACAPSN; lane 5, 10<sup>8</sup> DRP of lot 1 purified virions from P1/ACAPSN; lane 6, 10<sup>9</sup> DRP of lot 2 purified virions from P1/ACAPSN; lane 7, 10<sup>8</sup> DRP of lot 2 purified virions from P1/ACAPSN; lane 8, 10<sup>8</sup> DRP of lot 1 purified virions from P1/ALinBg; lane 9, 10<sup>8</sup> DRP of lot 2 purified virions from P1/ALinBg.

15

#### MODES FOR CARRYING OUT THE INVENTION

20 A basic challenge in the area of gene therapy is the development of strategies for efficient gene delivery to cells and tissues *in vivo*. One strategy involves the use of recombinant vectors encapsidated in AAV particles. Recombinant AAV particle-packaged vectors are recombinant constructs comprising sequences required in *cis* for vector packaging, along with heterologous polynucleotide(s) encoding a protein or function of interest. Recombinant vectors packaged in AAV particles are potentially powerful tools for human gene therapy, and in general are useful for introducing a polynucleotide into a cell.

25 Although recombinant vectors packaged in AAV particles are capable of *in vivo* gene delivery, for example in the respiratory tract, high titers of such vectors are necessary to allow the delivery of a sufficiently high multiplicity of vector in a minimal volume. Consequently, optimal packaging methodology is of central importance for AAV-mediated gene therapy approaches. Packaging of recombinant vectors into AAV particles is mediated in part by the products of two AAV genes: *rep* (replication proteins) and *cap* (capsid proteins), which can be provided separately in *trans*. Previously, it was believed that, in addition to the *rep* and *cap* gene products provided in *trans*, an ITR was necessary

to provide encapsidation functions in *cis*. In addition, it was previously shown that a 20-nucleotide portion of the AAV ITR, known as the "D sequence", plays a crucial role in the efficient rescue and selective replication and encapsidation of the AAV genome (Wang et al., 1996, *J. Virol.* 70:1668-1677). The inventors of this invention have made the surprising discovery that sequences other than an AAV ITR or a D-sequence of an AAV ITR can provide encapsidation function in *cis*.

The inventors of the instant invention have previously shown (in co-owned International Patent Application No. PCT/US98/21938, the contents of which are incorporated by reference herein) that P1 or a P1-like element provides for controlled amplification of DNA comprising the P1 or P1-like element amplifiably linked to AAV rep and cap genes, thereby providing increased template levels for synthesis of AAV packaging proteins. It has now been discovered that P1 or a P1-like element can promote encapsidation of an operably linked polynucleotide.

The present invention provides methods, polynucleotides, and packaging cells for producing stocks of recombinant vector encapsidated in an AAV particle. A heterologous polynucleotide is operably linked to an encapsidation element other than an AAV ITR or a D-sequence of an AAV ITR. In some embodiments, the activating element is directly or indirectly triggered by the user when it is desired to initiate vector production, preferably by infection with helper virus or provision of helper function. The use of the P1 sequence of human chromosome 19 is exemplary in these respects.

Without wishing to be bound by theory, it appears that upon infection or provision of helper function, the p5 promoter is turned on to some degree, resulting in the synthesis of some Rep protein, which may then, by acting via the encapsidation element, trigger an encapsidation event by which the linked gene(s) are encapsidated in an AAV particle. The encapsidation element, exemplified by P1, can thus promote encapsidation of a gene or genes to which it is linked.

The invention also provides recombinant vectors comprising a heterologous gene operably linked to an encapsidation element other than an AAV ITR or a D-sequence of an AAV ITR. Preferably, the recombinant vector comprising the heterologous gene have a size no greater than the upper size limit for packaging into an AAV particle, including, but not limited to, a size of approximately 5 kb. These vectors, when encapsidated into an AAV particle, are useful for introducing heterologous genes into a cell.

### General Methods

The practice of the present invention will employ, unless otherwise indicated, conventional techniques of molecular biology, microbiology, recombinant DNA, and immunology, which are within the skill of the art. Such techniques are explained fully in the literature. See e.g., Sambrook, Fritsch, and Maniatis, Molecular Cloning: A Laboratory Manual, Second Edition (1989); Oligonucleotide Synthesis (M.J. Gait Ed., 1984); Animal Cell Culture (R.I. Freshney, Ed., 1987); the series Methods in Enzymology (Academic Press, Inc.); Gene Transfer Vectors for Mammalian Cells (J.M. Miller and M.P. Calos eds. 1987); Handbook of Experimental Immunology, (D.M. Weir and C.C. Blackwell, Eds.); Current Protocols in Molecular Biology (F.M. Ausubel, R. Brent, R.E. Kingston, D.D. Moore, J.G. Seidman, J.A. Smith, and K. Struhl, eds., 1987, and updates); and Current Protocols in Immunology (J.E. Coligan, A.M. Kruisbeek, D.H. Margulies, E.M. Shevach and W. Strober, eds., 1991).

All patents, patent applications, and publications mentioned herein, both *supra* and *infra*, are hereby incorporated herein by reference.

### Definitions

As used in the specification and claims, the singular form "a", "an" and "the" include plural references unless the context clearly dictates otherwise. For example, the term "a cell" includes a plurality of cells, including mixtures thereof.

The terms "polypeptide", "peptide" and "protein" are used interchangeably to refer to polymers of amino acids of any length. These terms also include proteins that are post-translationally modified through reactions that include, but are not limited to, glycosylation, acetylation and phosphorylation.

"Polynucleotide" refers to a polymeric form of nucleotides of any length, either ribonucleotides or deoxyribonucleotides, or analogs thereof. This term refers only to the primary structure of the molecule. Thus, double- and single-stranded DNA, as well as double- and single-stranded RNA are included. It also includes modified polynucleotides such as methylated or capped polynucleotides.

A polynucleotide or polynucleotide region (or a polypeptide or polypeptide region) has a certain percentage (for example, 80%, 85%, 90%, or 95%) of "sequence identity" to another sequence means that, when aligned, that percentage of bases (or amino acids) are the same in comparing the two sequences. This alignment and the percent homology or

sequence identity can be determined using software programs known in the art, for example those described in *Current Protocols in Molecular Biology* (F.M. Ausubel et al., eds., 1987) Supplement 30, section 7.7.18, Table 7.7.1. Preferably, default parameters are used for alignment. A preferred alignment program is BLAST, using default parameters.

5 In particular, preferred programs are BLASTN and BLASTP, using the following default parameters: Genetic code = standard; filter = none; strand = both; cutoff = 60; expect = 10; Matrix = BLOSUM62; Descriptions = 50 sequences; sort by = HIGH SCORE; Databases = non-redundant, GenBank + EMBL + DDBJ + PDB + GenBank CDS translations + SwissProtein + SPupdate + PIR. Details of these programs can be found at the following

10 Internet address: <http://www.ncbi.nlm.nih.gov/BLAST>.

A "gene" refers to a polynucleotide containing at least one open reading frame that is capable of encoding a particular protein after being transcribed and translated.

As used herein, "an encapsidation element other than an AAV ITR or a D sequence of an AAV ITR", used interchangeably herein with "a packaging signal other than an AAV ITR or a D sequence of an AAV ITR" and "a non-AAV ITR encapsidation element", intends a polynucleotide sequence which, when operably linked in *cis* to a heterologous gene, promotes (or enhances or increases) encapsidation of the heterologous gene into an AAV particle, when AAV rep and cap gene products are provided in *trans*. For the purposes of the present invention, an encapsidation element is not an AAV ITR or a D sequence of an AAV ITR. AAV ITRs and their D sequences are known in the art, and those skilled in the art, given the guidance provided herein, can readily determine whether a given encapsidation element is an AAV ITR or an AAV ITR D sequence or a non-AAV ITR encapsidation element.

As used herein, the terms "heterologous gene operably linked to an encapsidation element", "heterologous polynucleotide operably linked to an encapsidation element", used interchangeably herein, refer to a polynucleotide sequence which is not normally associated in nature with a given encapsidation element.

In the context of the physical linkage between a heterologous gene and an encapsidation element, the term "operably linked", as used herein, intends a physical and/or functional arrangement of a heterologous gene and an encapsidation element that permits the encapsidation element to function in *cis*, in the presence of AAV rep and cap gene products, to encapsidate the heterologous gene in an AAV particle. Methods of

determining whether a given encapsidation element is "operably linked" to a given heterologous gene are known in the art, and are described herein, and include, but are not limited to, measuring the number of DNase-resistant particles (DRPs) which contain the heterologous gene, as determined, for example, by hybridization analysis.

5 The term "ITR" refers to an inverted terminal repeat at either end of the AAV genome. Generally, AAV ITRs are approximately 145 nucleotides long. The first 125 bases of the ITR can form a T shaped hairpin structure which is composed of two small internal palindromes flanked by a larger palindrome (Muzyczka et al., 1992). ITRs have been identified as being involved in AAV DNA replication and rescue, or excision, from 10 prokaryotic plasmids (Samulski et al., 1983, Cell 33:135-143, Samulski et al., 1987, J. Virol. 61:3096-3101; Senapathy et al., 1984, J. Mol. Biol. 179:1-20; Gottlieb and Muzyczka, 1988, Mol. Cell. Biol. 6:2513-2522).

15 As used herein, the term "D sequence of an AAV ITR" refers to a specific sequence element within an AAV ITR which has been identified as playing a role in rescue, selective replication and encapsidation of the AAV genome as described, for example, in Wang et al., 1996 and Wang et al., 1997. The D sequence of an AAV2 ITR is illustrated in Figure 2 and, as used herein, a "D sequence of an AAV ITR" refers to the D sequence of AAV2 ITR as well as D sequences of the ITRs of other AAV serotypes.

20 A "transcriptional regulatory sequence" as used herein, refers to a nucleotide sequence that controls the transcription of a gene or coding sequence to which it is operably linked. Transcriptional regulatory sequences of use in the present invention generally include at least one transcriptional promoter and may also include one or more enhancers and/or terminators of transcription.

25 A "promoter," as used herein, refers to a nucleotide sequence that directs the transcription of a gene or coding sequence to which it is operably linked.

30 "Operably linked" refers to an arrangement of two or more components, wherein the components so described are in a relationship permitting them to function in a coordinated manner. By way of illustration, a transcriptional regulatory sequence or a promoter is operably linked to a coding sequence if the transcriptional regulatory sequence or promoter promotes transcription of the coding sequence. An operably linked transcriptional regulatory sequence is generally joined in *cis* with the coding sequence, but it is not necessarily directly adjacent to it.

"Recombinant," refers to a genetic entity distinct from that generally found in nature. As applied to a polynucleotide or gene, this means that the polynucleotide is the product of various combinations of cloning, restriction and/or ligation steps, and other procedures that result in a construct that is distinct from a polynucleotide found in nature.

5 "Heterologous" means derived from a genotypically distinct entity from that of the rest of the entity to which it is compared. For example, a polynucleotide introduced by genetic engineering techniques into a different cell type is a heterologous polynucleotide (and, when expressed, can encode a heterologous polypeptide). Similarly, a transcriptional regulatory sequence or promoter that is removed from its native coding 10 sequence and operably linked to a different coding sequence is a heterologous transcriptional regulatory sequence or promoter.

A "vector", as used herein, refers to a recombinant plasmid or virus that comprises a polynucleotide to be delivered into a host cell, either *in vitro* or *in vivo*. The polynucleotide to be delivered, sometimes referred to as a "target polynucleotide," 15 "transgene", or "gene of interest" may comprise a coding sequence of interest in gene therapy (such as a gene encoding a protein of therapeutic interest) and/or a selectable or detectable marker.

20 A "replicon" refers to a polynucleotide comprising an origin of replication which allows for replication of the polynucleotide in an appropriate host cell. Examples of replicons include episomes (including plasmids), as well as chromosomes (such as the nuclear or mitochondrial chromosomes).

An "origin," "replication origin," "ori-like sequence" or "ori element" is a 25 nucleotide sequence involved in one or more aspects of initiation of DNA replication, such as, for example, binding of replication initiation factors, unwinding of the DNA duplex, primer formation, and/or template-directed synthesis of a complementary strand. As discussed in detail herein and in the art, *ori*-like sequences can generally be found in any polynucleotide that is naturally replicated, including plasmids and viruses, as well as prokaryotic, mitochondrial and chloroplast genomes and eukaryotic chromosomes. Such *ori*-like sequences can be identified genetically (*i.e.*, replication-defective mutants, *ars* 30 sequences) or functionally (*i.e.*, through biochemical assay, electron microscopy, *etc.*), as is known in the art.

"Stable integration" of a polynucleotide into a cell means that the polynucleotide

has been integrated into a replicon that tends to be stably maintained in the cell. Although episomes such as plasmids can sometimes be maintained for many generations, genetic material carried episomally is generally more susceptible to loss than chromosomally-integrated material. However, maintenance of a polynucleotide can often be effected by 5 incorporating a selectable marker into or adjacent to a polynucleotide, and then maintaining cells carrying the polynucleotide under selective pressure. In some cases, sequences cannot be effectively maintained stably unless they have become integrated into a chromosome; and, therefore, selection for retention of a sequence comprising a selectable marker can result in the selection of cells in which the marker has become 10 stably-integrated into a chromosome. Antibiotic resistance genes can be conveniently employed as such selectable markers, as is well known in the art. Typically, stably-integrated polynucleotides would be expected to be maintained on average for at least about twenty generations, preferably at least about one hundred generations, still more preferably they would be maintained permanently. The chromatin structure of eukaryotic 15 chromosomes can also influence the level of expression of an integrated polynucleotide. Having the genes carried on stably-maintained episomes can be particularly useful where it is desired to have multiple stably-maintained copies of a particular gene. The selection of stable cell lines having properties that are particularly desirable in the context of the present invention are described and illustrated below.

20 "AAV" is adeno-associated virus. Adeno-associated virus is a defective parvovirus that grows only in cells in which certain functions are provided by a co-infecting helper virus. General reviews of AAV may be found in, for example, Carter, 1989, Handbook of Parvoviruses, Vol. I, pp. 169-228, and Berns, 1990, Virology, pp. 1743-1764, Raven Press, (New York). The AAV2 serotype was used in some of the 25 illustrations of the present invention that are set forth in the Examples. However, it is fully expected that these same principles will be applicable to other AAV serotypes since it is now known that the various serotypes are quite closely related - both functionally and structurally, even at the genetic level (see, e.g., Blacklow, 1988, pp. 165-174 of Parvoviruses and Human Disease, J.R. Pattison (ed.); and Rose, 1974, Comprehensive 30 Virology 3: 1-61). For example, all AAV serotypes apparently exhibit very similar replication properties mediated by homologous *rep* genes; and all bear three related capsid proteins such as those expressed in AAV2. The degree of relatedness is further suggested

by heteroduplex analysis which reveals extensive cross-hybridization between serotypes along the length of the genome; and the presence of analogous self-annealing segments at the termini that correspond to inverted terminal repeats (ITRs). The similar infectivity patterns also suggest that the replication functions in each serotype are under similar regulatory control.

5

A "recombinant AAV vector" (or "rAAV vector") refers to a vector comprising one or more polynucleotide sequences of interest, genes of interest or "transgenes" that are flanked by AAV inverted terminal repeat sequences (ITRs). Such rAAV vectors can be replicated and packaged into infectious viral particles when present in a host cell that has been infected with a suitable helper virus and that is expressing AAV *rep* and *cap* gene products (i.e. AAV Rep and Cap proteins). When an rAAV vector is incorporated into a larger polynucleotide (e.g. in a chromosome or in another vector such as a plasmid used for cloning or transfection), then the rAAV vector is typically referred to as a "pro-vector" which can be "rescued" by replication and encapsidation in the presence of AAV packaging functions and necessary helper functions.

10

As used herein, a recombinant vector to be packaged (encapsidated) in an AAV particle intends a vector comprising one or more heterologous polynucleotide sequences, heterologous genes or "transgenes" that are operably linked to an encapsidation element other than an AAV ITR or a D-sequence of an AAV ITR. Such recombinant vectors can be replicated and packaged into infectious AAV particles when present in a host cell that has been infected with a suitable helper virus (or provided with helper function(s)) and that synthesizes AAV *rep* and *cap* gene products (i.e. AAV Rep and Cap proteins).

15

20

A "helper virus" for AAV refers to a virus that allows AAV (which is a "defective" parvovirus) to be replicated and packaged by a host cell. A number of such helper viruses have been identified, including adenoviruses, herpesviruses and poxviruses such as vaccinia. The adenoviruses encompass a number of different subgroups, although Adenovirus type 5 of subgroup C (Ad5) is most commonly used. Numerous adenoviruses of human, non-human mammalian and avian origin are known and available from depositories such as the ATCC. Viruses of the herpes family include, for example, herpes simplex viruses (HSV) and Epstein-Barr viruses (EBV), as well as cytomegaloviruses (CMV) and pseudorabies viruses (PRV); which are also available from depositories such as ATCC. "Helper function" refers to the activity provided by the helper virus that allows

25

30

replication and packaging of an AAV genome, or any equivalent activity. Helper functions are also believed to stimulate transcription of some AAV promoters, including p5, and may enhance processivity of replication in cells in which helper functions are expressed.

5 "Packaging" as used herein refers to a series of subcellular events that results in the assembly and encapsidation of all or part of a recombinant vector comprising one or more encapsidation elements other than an AAV ITR or and AAV ITR D-sequence. Thus, when a recombinant vector comprising an encapsidation element other than an AAV ITR or its D sequence, is introduced into a packaging cell, or packaging cell line, under 10 appropriate conditions, it can be assembled into a viral particle. Functions associated with packaging of viral vectors, particularly AAV vectors, are described herein and in the art.

AAV "*rep*" and "*cap*" genes are genes encoding replication and encapsidation proteins, respectively. AAV *rep* and *cap* genes have been found in all AAV serotypes examined, and are described herein and in the references cited. In wild-type AAV, the *rep* 15 and *cap* genes are generally found adjacent to each other in the viral genome (i.e. they are "coupled" together as adjoining or overlapping transcriptional units), and they are generally conserved among AAV serotypes. AAV *rep* and *cap* genes are also individually and collectively referred to herein as "AAV packaging genes." AAV packaging genes that have been modified by deletion or point mutation, or which have been subdivided into 20 components which can be rejoined by recombination (e.g., as described in co-owned International Patent Application No. PCT/US97/23247, the disclosure of which is hereby incorporated by reference), may also be used in the present invention. AAV packaging genes can also be operably linked to other transcriptional regulatory sequences, including 25 promoters, enhancers and polyadenylation ("polyA") sequences (which additional transcriptional regulatory sequences can also be heterologous). An "AAV packaging cassette" is a recombinant construct which includes one or more AAV packaging genes.

"Efficiency" when used in describing a cell line refers to certain useful attributes of the line; in particular, the growth rate, and (for packaging cell lines) the number of virus particles produced per cell. "Efficient growth" of a packaging cell line refers to the 30 effective growth rate of the packaging cell, related to a comparable parental-type cell (i.e., a cell that does not carry an introduced AAV packaging gene). Preferably, the relative growth rate is at least 20% of the parental type, more preferably, 40%, more preferably,

80%, still more preferably, 90% and, most preferably, 100%. "High efficiency packaging" indicates production of at least about 100 viral particles per cell, more preferably at least about 1,000 viral particles per cell, still more preferably at least about 10,000 viral particles per cell. "High safety packaging" indicates that, of the recombinant AAV viral particles produced, fewer than about 1 in  $10^6$  are replication-competent AAV viral particles, preferably fewer than about 1 in  $10^8$  are replication-competent, more preferably fewer than about 1 in  $10^{10}$  are replication-competent, still more preferably fewer than about 1 in  $10^{12}$  are replication-competent, most preferably none are replication-competent. Preferred packaging cells of the present invention exhibit combinations of such high efficiency and high safety.

10 "Host cells", "cell lines", "cell cultures", "packaging cell line" and other such terms denote higher eukaryotic cells, preferably mammalian cells, most preferably human cells, useful in the present invention. These cells can be used as recipients for recombinant vectors, viruses or other transfer polynucleotides, and include the progeny of the original cell that was transduced. It is understood that the progeny of a single cell may not necessarily be completely identical (in morphology or in genomic complement) to the original parent cell.

15 A "therapeutic gene", "target polynucleotide", "transgene", "gene of interest", "heterologous gene", "heterologous polynucleotide" and the like generally refer to a gene or genes to be transferred using a vector. Typically, in the context of the present invention, such genes are located within a recombinant vector (which vector comprises the heterologous gene and one or more encapsidation elements other than an AAV ITR or its D sequence) for packaging in an AAV particle. Target polynucleotides can be used in this invention to generate recombinant vectors for a number of different applications. Such 20 polynucleotides include, but are not limited to: (i) polynucleotides encoding proteins useful in other forms of gene therapy to relieve deficiencies caused by missing, defective or sub-optimal levels of a structural protein or enzyme; (ii) polynucleotides that are transcribed into anti-sense molecules; (iii) polynucleotides that are transcribed into decoys that bind transcription or translation factors; (iv) polynucleotides that encode cellular 25 modulators such as cytokines; (v) polynucleotides that can make recipient cells susceptible to specific drugs, such as the herpes virus thymidine kinase gene; (vi) polynucleotides for cancer therapy, such as E1A tumor suppressor genes or p53 tumor 30

suppressor genes for the treatment of various cancers, (vii) polynucleotides that encode antigens or antibodies and (viii) polynucleotides that encode viral proteins, including, but not limited to, AAV Rep and Cap proteins. To effect expression of the transgene in a recipient host cell, it is preferably operably linked to a promoter or other such transcriptional regulatory sequence, either its own or a heterologous promoter. A large number of suitable promoters are known in the art, the choice of which depends on the desired level of expression of the target polynucleotide; whether one wants constitutive expression, inducible expression, cell-specific or tissue-specific expression, etc. The recombinant vector may also contain a selectable marker.

10 An "activating element" is a sequence that responds to the presence of an activation signal by amplifying (*i.e.*, replicating the sequences) to which it is amplifiably linked. A preferred activating element is the P1 element and preferred activation signals include AAV helper functions (as exemplified by adenovirus E1A function) or their equivalents. As used herein, two sequences, one of which is an activating element, are "amplifiably linked" when they are in sufficient proximity to each other that replication initiating from the activating element results in amplification (*i.e.*, increased copy number) of the other sequence. Preferably, the copy number of the amplified sequence is amplified 2-fold or greater, more preferably, 10-fold or greater, still more preferably, 20-fold or greater. It is to be noted that the ability of an activating element to amplify an amplifiably-linked sequence will be influenced by the degree of processivity of replication initiating from the activating element. Thus, factors that enhance processivity of replication will tend to increase the effective level of amplification of a sequence that is amplifiably linked to an activating element. In the context of the present invention, infection with adenovirus, or provision of equivalent helper function, may enhance processivity of replication as well as initiating amplification.

#### **Encapsidation elements for use in recombinant vectors, packaging cells, and methods of the invention**

30 The present inventors have discovered that non-AAV ITR encapsidation elements such as the P1 sequence (normally found on human chromosome 19), when operably linked to one or more heterologous genes, in a mammalian cell which synthesizes AAV rep and cap gene products, can promote encapsidation of the linked heterologous gene into an AAV particle. In particular, when a recombinant vector of the present invention comprising an

encapsidation element operably linked to a heterologous gene is provided in a mammalian cell which synthesizes AAV rep and cap gene products, under suitable conditions, including the provision of helper virus or helper function, high titers of AAV particles containing the recombinant vector are produced by the host cells. P1 exemplifies a class of 5 encapsidation elements possessing, among other properties, activatable encapsidation function, that is useful in the generating recombinant vectors encapsidated in an AAV particle.

The methods and compositions of the invention will therefore utilize recombinant DNA constructs wherein a heterologous gene is operably linked to one or more 10 encapsidation elements. The presently preferred encapsidation elements are exemplified by P1 and P1-like elements that exhibit functional properties related to encapsidation functions normally associated with AAV ITRs. Most preferred are elements that act as helper function-inducible encapsidation elements.

The P1 element contains at least two distinct sequence motifs, a site at which Rep 15 proteins can bind, known as the "Rep-binding motif" (or "Rep-binding site" or "RB site") and a terminal resolution site ("trs"), at which bound Rep protein can nick the DNA (see Figure 2). During AAV replication, it is believed that Rep protein binds within the AAV inverted terminal repeat and catalyzes the formation of a nick (at the terminal resolution site), resulting in covalent attachment of Rep protein to the newly generated 5' end. The 3' 20 end of the nick serves as a primer for AAV DNA synthesis. Subsequently, operably linked polynucleotides are encapsidated unidirectionally. Further, as shown in Example 3, either of both strands of a double-stranded polynucleotide can be encapsidated. In the Examples, 25 encapsidation of a given polynucleotide into AAV particles is determined by measuring DNase-resistant particles, and further by determining the polynucleotide contents of the DRPs by hybridization with a labelled probe complementary to the polynucleotide. These methods can be used as an assay to identify additional encapsidation elements.

Weitzman et al. ((1994) *Proc. Natl. Acad. Sci. USA* 91:5808-5812) reported that a 109-base pair SmaI fragment (Figure 1), designated P1, at the site of AAV integration into the human genome specifically binds Rep 68 and Rep78 proteins. A P1 element for use in 30 the present invention can comprise this 109-bp fragment. However, as discussed below, portions of this 109-bp fragment can function to encapsidate an operably linked polynucleotide. In addition, longer fragments from the AAV integration site which

comprise this P1 element can also be used. Further, variants of this sequence can be used to promote encapsidation of an operably linked polynucleotide sequence.

As shown in Figure 2, a 62-nucleotide encapsidation element, which is a sub-  
fragment of the 109-bp P1 element described above, shares about 47% nucleotide sequence  
5 identity when aligned with an AAV2 ITR from nucleotide 145 to 79 (Muzyczka, 1992),  
where a 5-nucleotide gap is introduced between nucleotides 32 and 33 of the P1 element  
shown in Figure 2.

As was done with the AAV2 ITR sequence, ITR sequences from other AAV  
serotypes have also been aligned with the 62-nucleotide P1 encapsidation element (Figure  
10 3). AAV ITR sequences were taken from Xiao et al., 1999, J. Virol. 73:3994-4003;  
Muramatsu et al., 1996, Virology 221:208-217; Chiorini et al., 1997, J. Virol. 71:6823-  
6833 and Chiorini et al., 1999, J. Virol. 73:4293-4298. As depicted in Figure 3, the P1  
element shares about 42% nucleotide sequence identity when aligned with an AAV1 ITR,  
the P1 element shares about 44% nucleotide sequence identity when aligned with an AAV3  
15 ITR, the P1 element shares about 45% nucleotide sequence identity when aligned with an  
AAV4 ITR, the P1 element shares about 53% nucleotide sequence identity when aligned  
with an AAV5 ITR and the P1 element shares about 39% nucleotide sequence identity  
when aligned with an AAV6 ITR.

In some embodiments, a non-AAV ITR encapsidation element shares at least about  
20 25 to about 30%, more preferably at least about 30 to about 40%, more preferably at least  
about 40 to about 45%, more preferably at least about 45 to about 47%, more preferably at  
least about 47 to about 53%, more preferably from at least about 53 to about 60%, more  
preferably at least about 60% to about 70%, more preferably at least about 70% to about  
80%, more preferably at least about 80% to about 90%, even more preferably at least about  
25 90% or more sequence identity with the 62-nucleotide P1 element shown in Figure 2. In  
some embodiments, recombinant vectors of the invention comprise one or more P1  
elements, one or both of which have the sequence of the P1 element shown in Figure 2.

In some embodiments, a non-AAV ITR encapsidation element comprises a binding  
site for AAV Rep68/Rep78 proteins. In some of these embodiments, the Rep68/Rep78  
30 binding site has the nucleotide sequence 5' GCXCGCTCGCTGCTX, where X is any  
nucleotide. In other embodiments, a non-AAV ITR encapsidation element comprises a  
terminal resolution site. In some of these embodiments, a terminal resolution site has the

nucleotide sequence GGTTGG. In other embodiments, a non-AAV ITR encapsidation element comprises both a Rep68/Rep78 binding site and a terminal resolution site. In some of these embodiments, a non-AAV ITR comprises the nucleotide sequence GGTTGG(X)<sub>n</sub>GCXCGCTCGCTGCTX, wherein X is any nucleotide and n is a number 5 from 1 to about 100, preferably about 50, more preferably about 20, more preferably about 10.

A non-AAV ITR encapsidation element for use in the present invention promotes (or increases, or enhances) encapsidation of an operably linked heterologous gene into an AAV particle. Those skilled in the art can readily determine whether a given nucleotide 10 sequence functions as an encapsidation element. Any of a variety of methods known to those skilled in the art can be employed for this determination, including, but not limited to, measuring the number of DRPs (i.e., encapsidated recombinant vectors), and subjecting the DRPs to hybridization analysis, as described in Example 2. A non-AAV ITR 15 encapsidation element for use in the present invention promotes encapsidation of an operably linked heterologous gene such that at least about  $10^2$ , more preferably at least about  $10^4$ , more preferably at least about  $10^6$ , more preferably at least about  $10^7$ , more 20 preferably at least about  $10^8$ , more preferably at least about  $10^9$ , even more preferably at least about  $10^{10}$  or more, DRP containing the heterologous gene per milliliter are generated when the vector is provided in a mammalian cell which synthesizes AAV rep and cap gene products, and to which mammalian cell is provided helper virus function(s).

**Isolated recombinant polynucleotides comprising a heterologous gene operably linked to a non-AAV ITR encapsidation element**

Urcelay et al. ((1995) J. Virol. 69:2038-2046) describe a plasmid, pMAT50, which 25 comprises a P1 element and a lacZ gene and an AAV ITR. No encapsidation function was attributed to this P1 element. The present invention provides an isolated recombinant polynucleotide (also referred to herein as an isolated recombinant vector) comprising a non-AAV ITR encapsidation element operably linked to a heterologous gene(s), wherein the encapsidation element promotes encapsidation of the operably linked heterologous gene 30 into an AAV particle under conditions permissive for encapsidation, and wherein the isolated recombinant vector is not pMAT50. Conditions permissive for encapsidation are provided when the isolated recombinant polynucleotide is in a mammalian cell which

synthesizes AAV rep and cap gene products, and which is provided with helper virus function. In these embodiments, the isolated recombinant polynucleotide is introduced into a mammalian cell which synthesizes AAV rep and cap gene products. When helper virus function is further provided, the isolated recombinant polynucleotide is encapsidated in AAV particles.

5 We have observed that placing an encapsidation element, as exemplified by a P1 sequence, near a heterologous gene, e.g., a cap gene, resulted in an efficient packaging of the heterologous gene in AAV particles. Indeed, as shown below, a P1 element placed at a distance of 5.2 kb from the DHFR sequence, for example, resulted in efficient packaging of 10 the heterologous gene with production of approximately  $10^{10}$  DRPs per milliliter. This compares favorably with encapsidation efficiencies reported for ITR-mediated packaging 15 of AAV vector genomes. Although placing an encapsidation element further away from an AAV packaging gene (e.g. 5-10 kb or further) may result in somewhat lower levels of encapsidation, longer distances between an encapsidation element and an operably linked heterologous gene would still be expected to provide a degree of encapsidation sufficient 20 for production of isolated recombinant polynucleotides encapsidated in AAV particles. Accordingly, in some embodiments, the non-AAV ITR encapsidation element is less than about 10 kb, more preferably less than about 5 kb, more preferably less than about 4 kb, more preferably less than about 3 kb, more preferably less than about 2 kb, more preferably less than about 1 kb, more preferably less than about 0.5 kb away from (i.e., in the direction 25 of encapsidation from) the isolated recombinant polynucleotide comprising a heterologous gene to be packaged into an AAV particle.

In some embodiments, the isolated recombinant polynucleotide further comprises a 30 selectable marker. Once this recombinant polynucleotide is introduced into a mammalian cell, the cell can be subjected to selection appropriate to the selectable marker. A variety of selectable markers suitable for use in mammalian cells, and the manner of selection, are known in the art, and need not be described in detail herein. Any such selectable marker is suitable for use in the isolated recombinant polynucleotides of the invention. Mammalian cells comprising an isolated recombinant polynucleotide containing a selectable marker, subjected to selection appropriate to the selectable marker can yield cells which comprise the recombinant polynucleotide stably integrated into the genome of the cell, as described in the Examples. When such a cell synthesizes AAV rep and cap gene products, and

exhibits helper virus function, or is provided with helper virus function, the recombinant polynucleotide can be rescued and encapsidated into AAV particles.

In encapsidating copies of integrated operably linked heterologous gene(s) in response to helper virus infection, the P1 element appears to direct encapsidation 5 unidirectionally. Without wishing to be bound by theory, it is believed that interaction of Rep with a Rep-binding motif may be followed by nicking between the two T residues in a Terminal Resolution Site (TRS), as illustrated below. Subsequently, replication may initiate from the 3' hydroxyl end of the nick and proceed toward the Rep-binding motif. Accordingly, in some embodiments, a unidirectional encapsidation element (for example, 10 P1) is oriented such that unidirectional replication proceeds from the encapsidation element toward the associated (i.e., operably linked) heterologous gene(s).

Alternatively, a heterologous gene(s) can be flanked by encapsidation elements that are oriented so that replication initiated at each element proceeds "inward" toward the heterologous gene(s).

15 It is understood that while the polynucleotides containing the encapsidation element(s) and the heterologous gene(s) may be integrated, they may also exist in an episomal state.

In some embodiments, the isolated recombinant polynucleotides of the invention have a size no greater than the upper size limit for packaging into an AAV particle. In 20 some of these embodiments, isolated recombinant polynucleotides of the invention have a size greater than about 5 kb. In some of these embodiments, isolated recombinant polynucleotides of the invention have a size less than about 5 kb. In some of these embodiments, the size of the isolated recombinant polynucleotide is about 4.7 kb or less. Examples of recombinant polynucleotides sizes packageable into an AAV particle include, 25 but are not limited to, those sizes exemplified in Dong et al., 1996, Human Gene Ther. 7:2101-2112.

#### Production of AAV particles comprising a heterologous gene

To generate recombinant AAV particles useful for such purposes as gene therapy, or introducing a transgene into a cell, a packaging cell, or a packaging cell line, which 30 synthesizes AAV rep and cap gene products, is generally supplied with a recombinant vector comprising a heterologous gene operably linked to an encapsidation element other than an AAV ITR or a D-sequence of an AAV ITR, such that the recombinant vector enters

the cell and is packaged into an AAV particle in the presence of helper virus function(s). The vector can be introduced into the packaging cell by any known means, including, but not limited to, electroporation and lipofection. The packaging cell provides AAV rep and cap functions, which can be encoded by polynucleotide sequences which are stably integrated into the genome, or which are maintained in the packaging cell episomally, or are produced by transiently transfecting the cell with a vector, such as a plasmid vector, which comprises sequences encoding AAV rep and cap gene products. Helper functions can be provided by infecting the packaging cell with helper virus before, during, or after providing the cell with the recombinant vector. Alternatively, a vector which comprises nucleotide sequences which encode helper virus function(s) can be provided to the cell before, during, or after providing the cell with the recombinant vector. In some embodiments, the recombinant vector is provided to the cell transiently. In other embodiments, the recombinant vector comprises a selectable marker and the packaging cell is selected on the basis of the selectable marker such that the recombinant vector is stably integrated into the genome of the packaging cell. In other embodiments, the recombinant vector can stably integrate into the genome of the packaging cell without the need for a selectable marker.

#### **Heterologous polynucleotides**

The heterologous polynucleotide, if it is intended to be expressed, is generally operably linked to a promoter, either its own or a heterologous promoter. A large number of suitable promoters are known in the art, the choice of which depends on the desired level of expression of the target polynucleotide; whether one wants constitutive expression, inducible expression, cell-specific or tissue-specific expression, etc. The recombinant vector can also contain a positive selectable marker in order to allow for selection of cells that have been infected by the recombinant vector; and/or a negative selectable marker (as a means of selecting against those same cells should that become necessary or desirable); see, e.g., S.D. Lupton, PCT/US91/08442 and PCT/US94/05601.

As an example, a recombinant vector can be constructed which comprises an encapsidation element operably linked to a polynucleotide that encodes a functional cystic fibrosis transmembrane conductance regulator polypeptide (CFTR) operably linked to a promoter. As is now known in the art, there are a variety of CFTR polypeptides that are capable of reconstituting CFTR activity in cells derived from cystic fibrosis patients. For

example, Carter et al. have described truncated variants of CFTR genes that encode functional CFTR proteins (see, e.g., U.S. Patent No. 5,866,696). See also, Rich et al. (1991, Science 253: 205-207) who have described a CFTR derivative missing amino acid residues 708-835, that was capable of transporting chloride and capable of correcting a naturally occurring CFTR defect, and Egan et al. (1993) who described another CFTR derivative (comprising about 25 amino acids from an unrelated protein followed by the sequence of native CFTR beginning at residue 119) that was also capable of restoring electrophysiological characteristics of normal CFTR. To take two additional examples, Arispe et al. (1992, Proc. Natl. Acad. Sci. USA 89: 1539-1543) showed that a CFTR fragment comprising residues 433-586 was sufficient to reconstitute a correct chloride channel in lipid bilayers; and Sheppard et al. (1994, Cell 76:1091-1098) showed that a CFTR polypeptide truncated at residue 836 to about half its length was still capable of building a regulated chloride channel. Thus, the native CFTR protein, and mutants and fragments thereof, all constitute CFTR polypeptides that are useful in the practice of this invention.

Other useful target polynucleotides can be used in this invention to generate recombinant vectors for a number of different applications. Such polynucleotides include, but are not limited to: (i) polynucleotides encoding proteins useful in other forms of gene therapy to relieve deficiencies caused by missing, defective or sub-optimal levels of a structural protein or enzyme; (ii) polynucleotides that are transcribed into anti-sense molecules; (iii) polynucleotides that are transcribed into decoys that bind transcription or translation factors; (iv) polynucleotides that encode cellular modulators such as cytokines; (v) polynucleotides that can make recipient cells susceptible to specific drugs, such as the herpes virus thymidine kinase gene; and (vi) polynucleotides for cancer therapy, such as the wild-type p53 tumor suppressor cDNA for replacement of the missing or damaged p53 gene associated with over 50% of human cancers, including those of the lung, breast, prostate and colon.

#### **Mammalian packaging cells**

The present invention provides mammalian packaging cells for producing stocks of a recombinant polynucleotide encapsidated in an AAV particle, wherein the recombinant polynucleotide comprises a heterologous gene operably linked to a non-AAV ITR

encapsidation element which promotes encapsidation of the operably linked heterologous gene into the AAV particle.

For production of a recombinant polynucleotide encapsidated in an AAV particle, wherein the recombinant polynucleotide comprises a heterologous gene operably linked to a non-AAV ITR encapsidation element, and preferably to a non-AAV ITR D-sequence encapsidation element, a mammalian cell which synthesizes AAV rep and cap gene products, i.e., a packaging cell, is used. AAV rep and cap gene products can be encoded by stably integrated AAV rep and cap genes, or can be encoded by polynucleotides comprised in a vector which is introduced into the cell before, during, or after introduction of the recombinant vector. Further, stable cell lines can be generated which comprise the recombinant vector stably integrated into the genome of the cell.

Since the therapeutic specificity of the resulting recombinant vector is determined by the plasmid introduced, the same packaging cell line can be used for any of these applications. The plasmid comprising the specific target polynucleotide is introduced into the packaging cell for production of the AAV vector by any known method; including, but not limited to, electroporation.

A number of packaging cells comprising stably integrated AAV cap and/or rep genes are known in the art and can be used for packaging the recombinant vectors described herein. see, e.g., T. Flotte et al., WO 95/13365 (Targeted Genetics Corporation and Johns Hopkins University), and corresponding U.S. Patent 5,658,776; J. Trempe et al., WO 95/13392 (Medical College of Ohio), and corresponding U.S. Patent No. 5,837,484; and J. Allen, WO 96/17947 (Targeted Genetics Corporation).

Such packaging cells include, but are not limited to, packaging cells which comprise a stably integrated AAV cap gene operably linked to a promoter and a stably integrated AAV rep gene operably linked to a heterologous promoter, for example as described by Allen (International Patent Application No. PCT/US95/15892); packaging cells comprising an AAV rep gene, which may be operably linked to a heterologous promoter; packaging cells comprising an AAV cap gene operably linked to a promoter. When packaging cells comprising stably integrated rep and cap genes are used, the recombinant vector comprising a heterologous gene operably linked to an encapsidation element is introduced into the cell, and, in the presence of helper virus function, the recombinant vector is packaged into AAV particles. When packaging cells comprising

stably integrated AAV rep or AAV cap genes are used, the missing in *trans* product is supplied, typically on a plasmid vector which is introduced before, simultaneously with, or after, introduction of the recombinant vector.

5 In other embodiments, the packaging cells are provided with both AAV rep and AAV cap gene products by introducing into the cell a vector comprising coding sequences for AAV rep and cap gene products before, simultaneously with, or after, introduction of the recombinant vector comprising a heterologous gene operably linked to an encapsidation element. Plasmid-encoded AAV rep and/or cap genes can optionally be maintained episomally.

10 In other embodiments, also illustrated in the Examples below, the recombinant vector is itself stably integrated into a packaging cell line. Such stable, vector-containing packaging lines can also optionally contain stable chromosomal or episomal copies of AAV cap and/or rep genes. Cell lines such as those described above can be grown and stored until ready for use. To induce production of recombinant vector packaged into AAV 15 particles in cells that contain Rep and Cap proteins, the user simply infects the cells with helper virus, or provides helper functions on a plasmid introduced by any known method, and cultures the cells under conditions suitable for replication and packaging of AAV (as described below).

#### **Helper virus function**

20 Helper virus can be introduced before, during or after introduction of the recombinant vector. For instance, the plasmid can be co-infected into the culture along with the helper virus. The cells are then cultured for a suitable period, typically 2-5 days, in conditions suitable for replication and packaging as known in the art (see references above and examples below). Lysates are prepared, and the recombinant AAV vector 25 particles are purified by techniques known in the art. Alternatively, helper virus functions are provided to the cell on recombinant vectors, such as plasmids.

#### **Purification of recombinant vectors**

30 Recombinant vectors encapsidated in AAV particles prepared using the methods and compositions of the present invention can be purified according to techniques known in the art, see, e.g., the various AAV references cited above. Alternatively, improved

purification techniques can be employed, such as those described by Atkinson et al. in International Patent Application No. PCT/US98/18600.

**Introduction of heterologous genes into a cell using encapsidated recombinant vectors of the invention**

5 The recombinant vectors encapsidated into AAV particles can be used to deliver polynucleotides to target cells either *in vitro*, *in vivo*, or *ex vivo*, as described in the references cited herein and in the art. For delivery *in vivo*, the recombinant vectors encapsidated in AAV particles will typically be contained in a physiological suitable buffered solution that can optionally comprise one or more components that promote 10 sterility, stability and/or activity. Any means convenient for introducing the vector preparation to a desired location within the body can be employed, including, for example, by intravenous or localized injection, by infusion from a catheter or by aerosol delivery.

The examples presented below are provided as a further guide to a practitioner of ordinary skill in the art, and are not meant to be limiting in any way.

15

**EXAMPLES**

**EXAMPLE 1**

*Construction of recombinant vectors comprising a non-AAV ITR encapsidation element operably linked to a heterologous gene, and cells comprising the vectors.*

20

1. Construction of a recombinant vector employing P1 as an exemplary encapsidation element

An exemplary P1 sequence we used as the source of encapsidation element comprises nucleotides 354-468 of the AAV S1 locus (Kelman et al (1994) Curr. Opin. Genet. Dev. 4:185-195; Weitzman et al (1994) Proc. Natl. Acad. Sci. 91:5808-5817). Shown below is the nucleotide sequence of a P1 encapsidation element (SEQ ID NOs. 1 and 2), including a presumed terminal resolution site (TRS) at nucleotides 19-24 of SEQ ID NO:1 (i.e., nucleotides 372-377 of the AAV S1 locus), and a presumed Rep binding motif (RB Motif, also known as a Rep-binding site or RBS), at nucleotides 33-48 of SEQ ID NO:1 (i.e., nucleotides 386-401 of the AAV S1 locus). Also indicated (by the downward-pointing arrow) is the presumed Rep cleavage site located between the thymidines of the TRS.

5

## 2. Construction of p5repCap

10 We linked a P1 element (as described above) to AAV *rep* and *cap* genes that remained operably linked to their native AAV promoters. As a first step in that process, an AAV packaging cassette, p5repCap, comprising the AAV *rep* and *cap* encoding sequences transcriptionally linked to the native p5, p19 and p40 promoters and followed by the AAV2 polyadenylation signal, was constructed as follows. Briefly, a fragment from pAV2 comprising nucleotides 193 to 379 (Srivastava et al. (1983) J. Virol. 45:555-564) was obtained by PCR amplification. The design of the PCR primers resulted in addition of a BglII site at the 5' end of the amplified fragment and encompassed the PpuMI site (at AAV-2 nucleotide 350) close to the 3' end. The PCR-amplified DNA was digested with BglII and PpuMI to generate a restriction fragment comprising AAV-2 nucleotides 193-350. A restriction fragment comprising nucleotides 351-4498 of pAV2 was isolated from pAV2 by digestion with PpuMI and SnaBI. These two fragments (representing nucleotides 193-4498 of pAV2) were ligated into a tgLS(+)HyTK retroviral vector (S.D. Lupton et al., Molecular and Cellular Biology, 11: 3374-3378, 1991) in a four-way ligation that also included a StuI-BstEII fragment of tgLS(+)HyTK and a BstEI-StuI fragment of tgLS(+)HyTK to which a BglII linker had been attached at the StuI end. This ligation generated tgLS(+)HyTK-repCap. Subsequently, a BglII - Clal fragment from tgLS(+)HyTK-repCap, including AAV *rep* and *cap* genes transcriptionally linked to the native p5, p19 and p40 promoters and followed by the AAV2 polyadenylation signal, was isolated and cloned into the BamHI and Clal sites of pSP72 (Promega).

30

### 3. Construction of p5repCapDHFR

Expression plasmid p5repCapDHFR was constructed for the purpose of producing an integrated packaging line including the construct p5repCap (Example 1, section 2) and a modified dihydrofolate reductase gene (DHFR) as a selectable marker. Specifically,

p5repcap (Example 1, section 2) was linearized at a PvuII site located just upstream of the *rep* gene, and blunt-end ligated to a 1.8 kb fragment of pFR400 (Simonsen et al. (1983) Proc. Natl. Acad. Sci. USA 80:2495-2499). This pFR400 fragment comprises a modified DHFR gene, with a reduced affinity for methotrexate (Mtx), transcriptionally linked to the 5 SV40 early promoter and followed by the polyadenylation site from the Hepatitis B virus (HBV) surface antigen gene. The pFR400 fragment was prepared by first digesting with SalI, followed by a four base pair fill-in (to generate a blunt end) and subsequent PvuII digestion and gel purification. The resulting construct, p5repcapDHFR (Figure 4), contains a DHFR gene whose transcription is regulated by an upstream SV40 early promoter and a downstream Hepatitis B Virus polyadenylation site. Immediately downstream of this DHFR transcriptional cassette lie the AAV *rep* and *cap* genes, followed by an AAV polyadenylation site.

#### 4. Addition of P1 to a repcap-containing plasmid: Construction of P1RCD

15 A P1 element (Example 1, section 1) was then incorporated into expression plasmid p5repcapDHFR (Example 1, section 3). In the construction of the plasmid, "P1RCD", containing this packaging cassette, the P1 element was inserted downstream of the AAV polyadenylation signal in p5repcapDHFR in an orientation such that replication initiating from the P1 element proceeds first into the *cap* gene and then into the *rep* gene (i.e., 20 replication initiates at the 3' -OH of the TRS on the anti-sense strand and proceeds in a 5'- to-3' direction towards the *cap* gene). To facilitate insertion of the P1 element into p5repcapDHFR, a pair of oligonucleotides was synthesized which include the P1 sequence flanked by ends compatible with a BglII restriction site (see sequences below, SEQ ID 25 NOs. 3 and 4). The pair was annealed, then ligated to p5repcapDHFR previously linearized at a BglII site located just downstream of the AAV polyadenylation site (Example 1, section 3, nucleotide 6217). A clone named P1RCD was selected, containing a P1 insert in an orientation such that replication initiated at P1 proceeds in the direction of the *cap* and *rep* genes (Figure 5). This vector contains no AAV ITR sequences.

30 P1 Oligonucleotide pair:

SEQ ID NO:3 RB Motif  
5' GATCACTAGTACCGCCCGCCCGCCAGCGAGCGAGCGAGGCCAACGCCGCCACCCGCCGA 3'  
||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| ||| |||  
3' TGATCATGGCGGGCGGGCGGGTCGCTCGCTCGCGGCTCGGGTTGGCGGGTGGCGGGCTCTAGA 5'

SEQ ID NO: 4

TRS

### 5. Construction of rAAV vector ACAPSN

The plasmid ACAPSN was constructed according to Lynch et al. (1997) *Circ. Res.* 80: 497-505 and PCT Publication WO 97/32990, as follows. The ITR sequences and plasmid backbone were derived from AAV-CFTR. Afione et al. (1996) *J. Virol.* 70:3235-3241. Briefly, the AAV-CFTR vector was digested with XhoI and SnaBI and the ITRs and plasmid backbone were gel isolated. An XhoI to SnaBI fragment containing a portion of the CMV promoter (nucleotides -671 to -464) [See, e.g., Boshart, et al., *Cell*, 41: 521-530 (1985)] was gel isolated and ligated to the ITR plasmid backbone fragment derived from AAV-CFTR to generate "pAAV-CMV (SnaBI)." Next, an SpeI to SnaBI fragment containing the synthetic polyadenylation signal was inserted into SpeI/SnaBI digested pAAV-CMV (SnaB1) to generate "pAAV-CMV (SpeI)-spA." The pAAV-CMV (SpeI)-spA vector contains nucleotides -671 to -584 of the CMV promoter. Next, the human placental alkaline phosphatase cDNA sequence linked to the Simian virus 40 promoter driving the *E. coli* neomycin gene was isolated from LAPS [See, e.g., Clowes et al., 1994, *J. Clin. Invest.* 93:644-651] as an SpeI to NheI fragment and inserted into pAAV-CMV (SpeI)-spA (which had been linearized with SpeI) to create "pAAV-APSN." An SpeI to NheI fragment containing CMV promoter nucleotides -585 to + 71 was inserted into SpeI linearized pAAV-APSN to generate vector "ACAPSN."

### 6. Production of packaging cell lines containing P1RCD

25 Polyclonal cell lines with an integrated AAV packaging cassette containing the P1 element (P1RCD) were produced by electroporation of HeLa cells. Specifically,  $4 \times 10^6$  HeLa cells were electroporated with 12  $\mu$ g DNA (P1RCD) that had been linearized with PvuII restriction endonuclease, which cleaves just upstream of the SV40 promoter-DHFR gene cassette. The cells were electroporated in serum free DMEM using a BioRad Gene Pulser at 0.25 Volts and 960  $\mu$ F. After electroporation, cells were resuspended in Dulbecco's Modified Eagles medium, 10% fetal bovine serum, with 1% penicillin and 30 streptomycin (DMEM complete) and allowed to recover at 37°C in a humidified atmosphere of 10% CO<sub>2</sub>. After 24 hours, cells were subjected to selection in complete medium containing 500 nM methotrexate.

7. Production of P1RCD clonal cell lines

5 P1RCD polyclonal cells were plated in 96-well plates at a density of 1, 0.3, and 0.1 cell per well in DMEM containing 10 % dialyzed fetal bovine serum, 1% penicillin, streptomycin, and L-glutamine plus 500 nM methotrexate. Wells were visually inspected for cell growth and the presence of single colonies. Clones were expanded from 96-well plates with 15 or fewer positive wells per plate and from wells containing single colonies. Cells were maintained under selection of 500 nM methotrexate in DMEM containing 10% 10 dialyzed serum until individual clones were frozen. Clones were screened for the presence of the P1RCD construct. Positive clonal cell lines were frozen and stored in liquid nitrogen. The C29 clonal cell line containing the P1RCD construct was chosen for subsequent experiments.

15 8. Production of producer cell lines P1/ACAPSN and P1/ALinBg

Producer cell line P1/ACAPSN was generated by electroporating P1RCD C29 packaging cells in an analogous manner as the P1RCD packaging line above. Specifically, 4 x 10<sup>6</sup> P1RCD C29 cells were electroporated with 10 $\mu$ g of tgACAPSN DNA that had been linearized with Xmn I endonuclease. Electroporation conditions are described in Example 20 1, section 6. After electroporation, the cells were resuspended in DMEM complete and allowed to recover at 37°C for 24 hours. Cells were then subjected to selection in complete media containing 1 mg/ml G418. Clones of P1/ACAPSN were selected and expanded in the manner described above (Example 1, section 7) using 1 mg/ml G418 as selection media. The P1/ACAPSN C19 cell line was chosen for subsequent experiments. Clones 25 were screened for the ability to produce ACAPSN virions according to Example 2.

P1/ALinBg clones were produced in an analogous manner by electroporating P1RCD C29 cells with ALinBg DNA.

EXAMPLE 2

30 *P1 element promotes encapsidation of operably linked gene into AAV particles.*

1. Production of virions

C29 cells (Example 1, section 7) were seeded at a density of  $5 \times 10^6$  cells in a T225 cm<sup>2</sup> flask one day prior to infection with adenovirus (Ad). Four replicate flasks were seeded. Twenty-four hours later, one flask of cells was treated with trypsin and the number 5 of cells counted. The remaining three flasks of cells were infected with Ad at a multiplicity of infection of 10. Seventy-two hours later cells were collected by centrifugation and resuspended to a concentration of  $5 \times 10^6$  cells/mL in 50 mM TRIS, pH 8.0, 5 mM MgCl<sub>2</sub>, 1 mM EDTA, 5% glycerol (TMEG). Cells were subjected to repeated freeze/thaw (-70°C/37°C) cycles and sonication (4 x 15 sec bursts). After confirmation that greater than 10 95% of the cells were lysed, cell debris was removed by low speed centrifugation. The resulting cleared lysates were examined for the presence of encapsidated P1RCD DNA sequences.

2. DRP slot-blot analysis

15 Encapsidated DNA sequences were examined by DNA hybridization following DNase treatment of cleared lysates. A number of radiolabeled probes were generated which spanned the P1RCD construct: cap; rep-cap; DHFR#1 (DHFR gene and hepatitis B polyadenylation signal); and DHFR #2 (DHFR coding sequences only). The number of DNase Resistant Particles (DRP) was quantitated by comparison to a standard curve 20 included on each slot-blot. P1RCD plasmid DNA was used to generate standards.

DNase resistant, i.e. encapsidated, DNA sequences were detected in cleared lysates generated from C29 cells with each of the P1RCD probes, as shown in Table 1, below. In general, the number of DNase Resistant Particles was on the order of  $1 \times 10^{10}$ /mL. This level of encapsidation is comparable to that typically seen with ITR-mediated packaging of 25 AAV vector genomes.

Table 1

Probe	DRP/mL
rep-cap	$1 \times 10^{10}$
cap	$1 \times 10^{10}$
DHFR #1	$1.3 \times 10^{10}$
DHFR #2	$1.3 \times 10^{10}$

## EXAMPLE 3.

*Characterization of the P1 encapsidation element.*1. The P1 encapsidation element is included in the encapsidated DNA

DNase resistant, i.e. encapsidated, DNA sequences were detected in cleared lysates 5 generated from C29 cells using a P1 probe. Oligonucleotides comprising the P1 element were synthesized, annealed and end-labeled. Similar numbers of virions were detected with the P1 probe ( $2 \times 10^{10}$  DRP/mL) as previously detected with the rep-cap, cap and DHFR probes. This indicates that the P1 encapsidation element is included in the encapsidated DNA sequences.

10 2. P1 encapsidation element promotes encapsidation of sense and anti-sense DNA strands at an equal ratio.

Duplicate slot-blots of DNase-treated C29 cleared lysate were individually hybridized with oligonucleotide probes representing the 5' to 3' and 3' to 5' sequences of the P1 element. Titers of DRPs observed with the sense and anti-sense P1 probes were  $2.6 \times 10^{10}$  and  $1.3 \times 10^{10}$  DRP/mL, respectively. It appears that the P1 encapsidation element 15 directs encapsidation of DNA strands of either polarity at equal frequency.

3. P1 encapsidation element promotes packaging in a vector producer cell line in the presence of ITR sequences

20 Slot-blots of DNase treated P1/ACAPSN C19 cleared lysate were hybridized with the cap, DHFR#1 and DHFR#2 probes described in Example 2, section 2, above. The number of ACAPSN vector particles present was also determined using a CMV probe. The results are shown in Table 2. "NA" indicates "not applicable"

Table 2

Probe	DRP/mL (P1 packaging)	DRP/mL (ITR Packaging)
cap	$3 \times 10^9$	NA
DHFR #1	$2.7 \times 10^9$	NA
DHFR #2	$2.3 \times 10^9$	NA
CMV	NA	$1.3 \times 10^{11}$

25

Both ITR- and P1-promoted encapsidation of DNA sequences were observed in P1/ACAPSN C19 cleared lysate. The titer of particles containing recombinant polynucleotides operably linked to P1 (i.e., P1-directed encapsidation) was one-half log

lower than previously observed in the C29 clonal cell line, which lacks an ITR-flanked ACAPSN vector cassette. These data demonstrate that the P1 element can function as a packaging signal even in the presence of a *bona fida* AAV ITR packaging signal.

5 4. Encapsidated DNA sequences in purified recombinant vector preparations from HeLa cells containing a P1 element

Large-scale vector preparations were manufactured from the P1/ACAPSN C19 cell line and purified by CsCl ultra-centrifugation and ion-exchange chromatography. Two independent lots of vector were manufactured. In addition to the ACAPSN vector particles, the purified preparations contained encapsidated DNase resistant particles which 10 contained recombinant polynucleotides operably linked to P1.

Another P1 producer cell line was independently generated from an AAV vector carrying the  $\beta$ -galactosidase reporter gene (ALinBg). Vector preparations manufactured from the P1/AlinBg producer cell line also contained DNase resistant particles containing recombinant polynucleotides operably linked to P1, in addition to ALinBg vector particles.

15 Southern Analysis

The P1-encapsidated DNA was examined by Southern blot analysis. Purified virions from the P1/ACAPSN and P1/ALiNBg cell lines were lysed and the encapsidated DNA fractionated by electrophoresis in alkaline gels. A predominant band of approximately 4.7 kb in size was observed in all vector lots when hybridized with a rep-cap probe, as shown in Figure 6. This suggests that the predominant DNA species packaged 20 using the P1 packaging signal are similar in size to the wild-type AAV genome length, i.e. the normal AAV packaging capacity.

Thus, using two different AAV vectors and two producer cell lines independently derived from the C29 packaging cell line, we have observed P1 promoted encapsidation of 25 cis linked sequences. Furthermore, P1-promoted packaging occurred in the presence of ITR-mediated encapsidation of recombinant AAV vectors. The P1 packaged sequences were co-purified with rAAV virions by CsCl isopycnic ultra-centrifugation and survived treatment with DNase and heating to 54 °C for 10 minutes. This indicates that P1 promotes 30 encapsidation into AAV particles that are robust and can be purified by methods used for recombinant AAV vectors.

## EXAMPLE 4.

*Construction and encapsidation of a recombinant polynucleotide comprising a P1 element operably linked to coding sequences for CFTR.*

5 The region comprising AAV rep and cap genes is excised by BglII restriction endonuclease digestion from P1RCD and the fragment including P1 element and DHFR gene is isolated. A DNA fragment encoding CFTR and having compatible restriction endonuclease overhangs with the P1-containing fragment is isolated. The P1-containing fragment is ligated to the DNA fragment encoding CFTR, to produce a recombinant polynucleotide in which a P1 element is operably linked to sequences encoding CFTR.

10 This recombinant polynucleotide is introduced into a mammalian cell line producing AAV rep and cap gene products, and subsequently the cell line is infected with Ad helper virus.

15 Cells are lysed and DRPs are measured in the cleared lysates, as described above, then analyzed by slot blot hybridization with probes which hybridize to the P1 element and to CFTR-coding regions.

20 Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be apparent to those skilled in the art that certain changes and modifications will be practiced. Therefore, the description and examples should not be construed as limiting the scope of the invention, which is delineated by the appended claims.

## CLAIMS

1. A method for producing a recombinant polynucleotide encapsidated in an adeno-associated virus (AAV) particle, comprising providing a mammalian cell which produces AAV rep and cap gene products, wherein said mammalian cell contains the recombinant polynucleotide which comprises a heterologous gene operably linked to an encapsidation element which promotes encapsidation of said heterologous gene into an AAV particle, and wherein said encapsidation element is other than an AAV inverted terminal repeat (ITR) or its D sequence.  
10
2. The method of claim 1, wherein said encapsidation element has a nucleotide sequence which has at least about 30 % nucleotide sequence identity with SEQ ID NO:1.
3. The method of claim 1, wherein said encapsidation element has a nucleotide sequence which has at least about 47 % nucleotide sequence identity with SEQ ID NO:1.  
15
4. The method of claim 1, wherein said encapsidation element comprises at least about 35 contiguous nucleotides of the nucleotide sequence depicted in SEQ ID NO:1.
- 20 5. The method of claim 1, wherein said encapsidation element comprises a terminal resolution site.
6. The method of claim 1, wherein said encapsidation element comprises a binding site for AAV Rep68 and Rep78 proteins.  
25
7. The method of claim 1, wherein said encapsidation element comprises a terminal resolution site and a binding site for AAV Rep68 and Rep78 proteins.
- 30 8. The method of claim 1, wherein said encapsidation element comprises the nucleotide sequence GGTTGG(X)<sub>n</sub>GCXCGCTCGCTCGCTX, wherein X is any nucleotide and n is an integer from 1 to about 20.

9. The method of claim 1, wherein said encapsidation element comprises a nucleotide sequence having the sequence of nucleotides 19 to 48 of SEQ ID NO:1.

10. The method of claim 1, wherein said encapsidation element has the nucleotide sequence depicted in SEQ ID NO:1.

5 11. The method of claim 1, wherein the encapsidation activity of said encapsidation element is activated by helper function.

10 12. The method of claim 11, wherein said helper function is provided by an adenovirus.

15 13. The method of claim 1, wherein said AAV rep and cap gene products produced by said mammalian cell are encoded by AAV rep and cap genes which are stably integrated into the genome of said cell.

14. The method of claim 1, wherein said AAV rep and cap gene products are encoded by an extrachromosomal polynucleotide.

20 15. An isolated recombinant polynucleotide sequence comprising a heterologous gene flanked by encapsidation elements other than adeno-associated virus (AAV) inverted terminal repeats (ITR) or AAV ITR D sequences, wherein said encapsidation elements promote encapsidation of said heterologous gene in the presence of AAV rep and cap gene products and helper virus function.

25 16. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element has a nucleotide sequence which has at least about 30 % nucleotide sequence identity with SEQ ID NO:1.

30 17. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element has a nucleotide sequence which has at least about 47 % nucleotide sequence identity with SEQ ID NO:1.

18. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element comprises at least about 35 contiguous nucleotides of the nucleotide sequence depicted in SEQ ID NO:1.

5

19. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element comprises a terminal resolution site.

10. 20. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element comprises a binding site for AAV Rep68 and Rep78 proteins.

21. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element comprises a terminal resolution site and a binding site for AAV Rep68 and Rep78 proteins.

15

22. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element comprises the nucleotide sequence GGTTGG(X)<sub>n</sub>GCXCGCTCGCTGCTX, wherein X is any nucleotide and n is an integer from 1 to about 20.

20

23. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element comprises a nucleotide sequence having the sequence of nucleotides 19 to 48 of SEQ ID NO:1.

25

24. The isolated recombinant polynucleotide of claim 15, wherein said encapsidation element has the nucleotide sequence depicted in SEQ ID NO:1.

25. The isolated recombinant polynucleotide of claim 15, wherein the encapsidation activity of said encapsidation element is activated by helper function.

30

26. The isolated recombinant polynucleotide of claim 15, wherein said helper function is provided by an adenovirus.

27. The isolated recombinant polynucleotide of claim 15, wherein said AAV rep and cap gene products produced by said mammalian cell are encoded by AAV rep and cap genes which are stably integrated into the genome of said cell.

5

28. The isolated recombinant polynucleotide of claim 15, wherein said AAV rep and cap gene products are encoded by an extrachromosomal polynucleotide.

10 29. The isolated recombinant polynucleotide of claim 15, wherein said heterologous gene encodes a functional CFTR polypeptide.

15 30. A method for generating a packaging cell capable of producing stocks of a recombinant polynucleotide comprising a heterologous gene encapsidated in an adeno-associated virus (AAV) particle, comprising transfecting mammalian cells which produce AAV rep and cap gene products with the recombinant polynucleotide, wherein said recombinant polynucleotide comprises a heterologous gene operably linked to an encapsidation element other than an AAV inverted terminal repeat (ITR) or an AAV ITR D sequence, wherein said encapsidation element promotes encapsidation of said heterologous gene into an AAV particle.

20

31. The method of claim 30, wherein said AAV rep and cap gene products produced by said mammalian cell are encoded by AAV rep and cap genes stably integrated into the genome of the cell.

25

32. The method of claim 30, wherein said AAV rep and cap gene products are encoded by an extrachromosomal polynucleotide.

33. The method of claim 30, wherein said recombinant polynucleotide further comprises a selectable marker.

30

34. The method of claim 30, wherein said recombinant polynucleotide integrates into the genome of the cell.

35. A packaging cell produced by the method of claim 18.

36. A mammalian packaging cell for producing stocks of a recombinant  
5 polynucleotide encapsidated in an adeno-associated virus (AAV) particle, wherein said  
packaging cell comprises the recombinant polynucleotide, wherein said cell produces  
adeno-associated virus (AAV) rep and cap gene products, and wherein said recombinant  
polynucleotide comprises a heterologous gene operably linked to an encapsidation element  
other than an AAV inverted terminal repeat (ITR) or an AAV ITR D sequence, wherein  
10 said encapsidation element promotes encapsidation of said heterologous gene.

37. The mammalian packaging cell of claim 36, wherein said rep and said cap gene  
products are encoded by genes stably integrated into the genome of the cell.

15 38. The mammalian packaging cell of claim 36, wherein said rep and said cap gene  
products are encoded by extrachromosomal genes.

39. The mammalian packaging cell of claim 36, wherein said recombinant vector is  
maintained episomally.

20

**FIGURE 1**

CCCGGGCGGGCGGGCGGGCGGGTGGTGGCGGCGGTTCGGGCTCGGCGCTCGCTCGCTGGCGGGC  
GGCGGTGCGATGTCCGGAGAGGATGGCCGGCGGCTGGCCCGGG

## FIGURE 2

**P1**  
5' CGGGCGGGTGGTGGCGGCGGTTGGGGCTCGGC-----GCTCGCTCGCTCGCTGGGCGGGCGGGCGGT  
5' AGGAACCCCTAGTGATGGAGTTGGCCACTCCCTCTGCGCGCTCGCTCGCTCACTGAGGCCGCC 79  
145  
**AAV2 ITR**

## FIGURE 3

P1

5' CGGGCGGGTGGTGGCGGC~~GG~~TTGGGGCTCGGC-----  
 GCTCGCTCGCTCGCTGGCGGGCGGGCGGT  
 5' TTACCCCTAGT~~GATGGAG~~TTGCCACTCCCTCTGCGCGCTCGCTCGCTCGGTGGGC  
**AAV1 ITR**

P1

5' CGGGCGGGTGGTGGCGGC~~GG~~TTGGGGCTCGGC-----  
 GCTCGCTCGCTCGCTGGCGGGCGGGCGGT  
 5' GCCATACCTCTAGT~~GATGGAG~~TTGCCACTCCCTATGCGC~~ACTCG~~CTCGCTCGGTGGGC  
**AAV3 ITR**

P1

5' CGGGCGGGTGGTGGCGGC~~GG~~TTGGGGCTCGGC-----GCTCGCTCGCTCGCTGGCGGGCGGT  
 5' GGGCAAACCTAGAT~~GATGGAG~~TTGCCACTCCCTATGCGC~~GTCA~~CTCGACTCGGCC  
**AAV4 ITR**

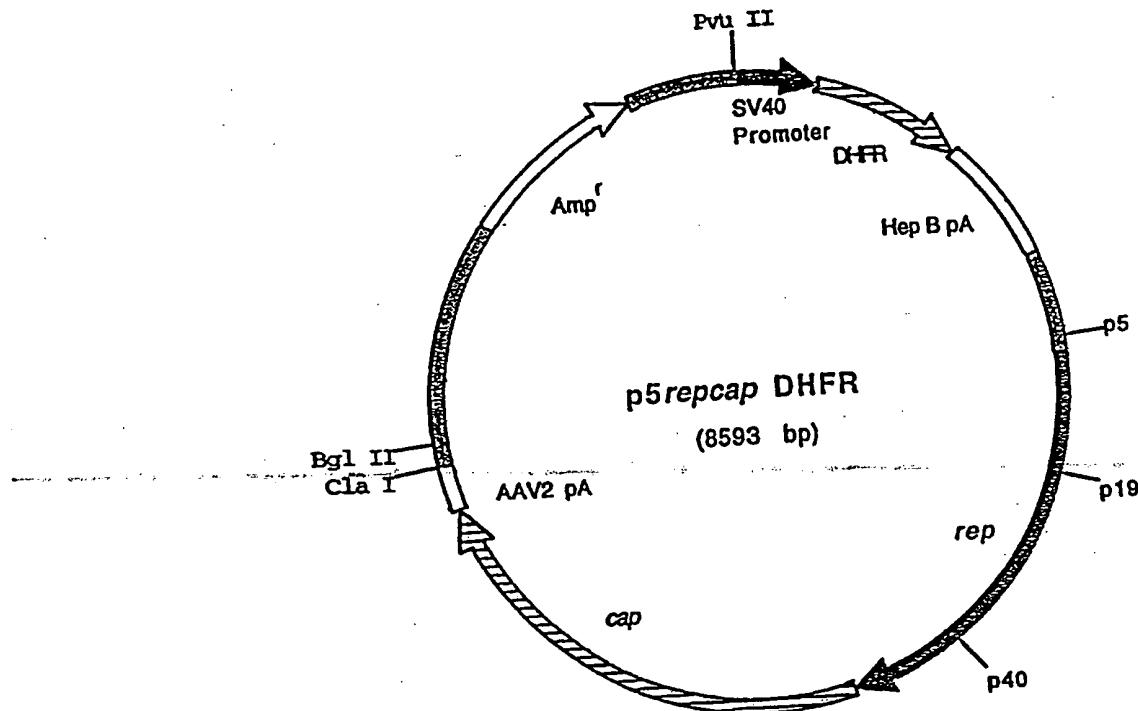
P1

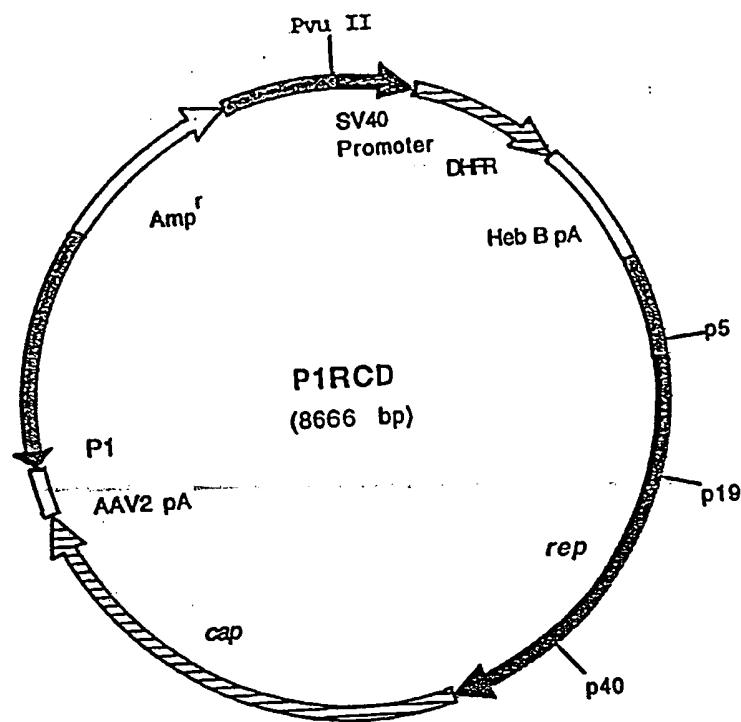
5' CGGGCGGGTGGTGGCGGC~~GG~~TTGGGGCTCGGC-----  
 GCTCGCTCGCTCGCTGGCGGGCGGT  
 5' ACAAAACCTCCTGCTTGAGAG~~TGTGG~~ACTCTCCCCCTGTCGCGTTCGCTCGCTGGCTCGTTGGGGGG  
**AAV5 ITR**

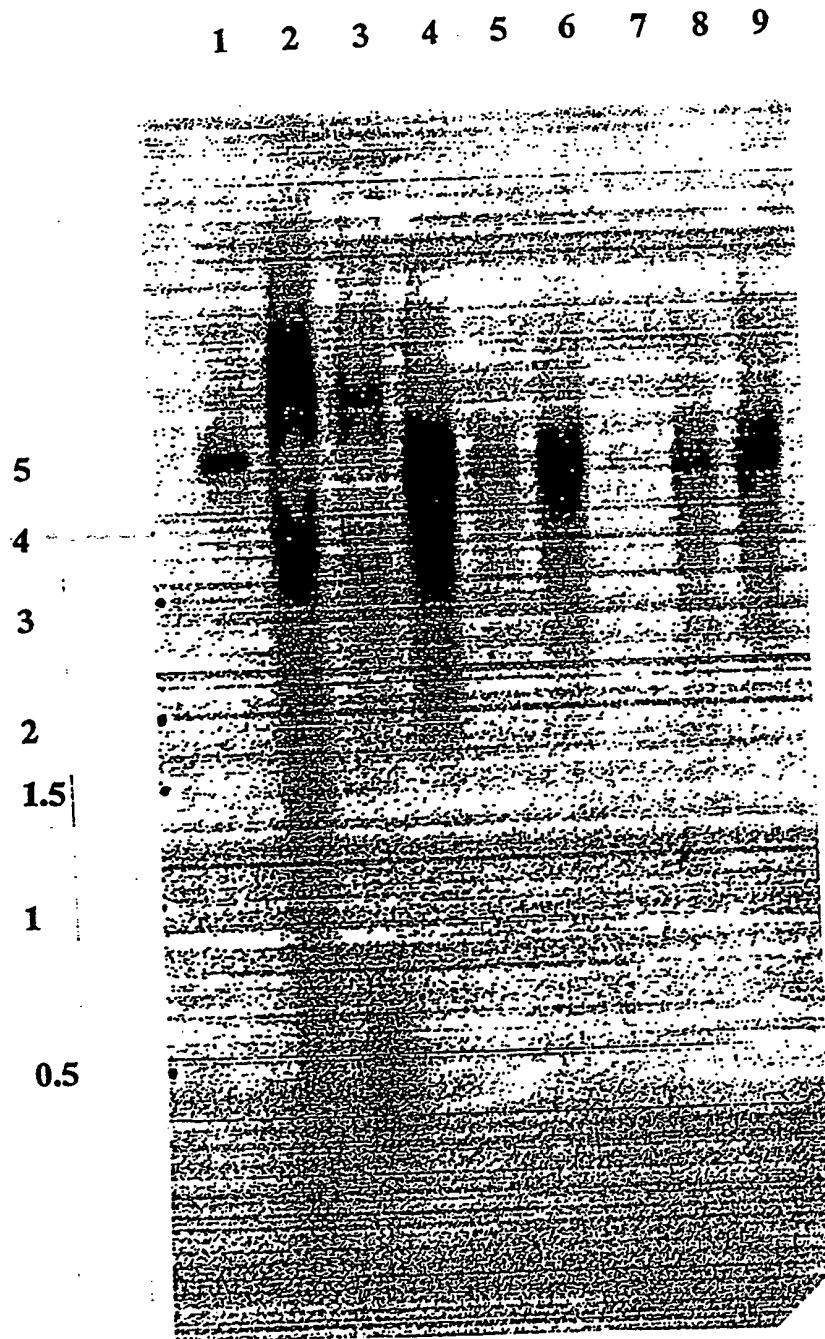
P1

5' CGGGCGGGTGGTGGCGGC~~GG~~TTGGGGCTCGGC-----GCTCGCTCGCTCGCTGGCGGGCGGT  
 5' TTACCCCTAGT~~GATGGAG~~TTGCCACTCCCTCTGCGCGCTCGCTCGACTGAGGCC  
**AAV6 ITR**

Figure 4



**Figure 5**

**Figure 6**

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

**(19) World Intellectual Property Organization  
International Bureau**



A standard linear barcode is located at the bottom of the page, spanning most of the width. It is used for tracking and identification of the journal issue.

(43) International Publication Date  
2 November 2000 (02.11.2000)

PCT

(10) International Publication Number  
**WO 00/65038 A3**

(51) International Patent Classification<sup>7</sup>: C12N 15/864, C07K 14/015, C12N 5/10 (74) Agents: POLIZZI, Catherine, M. et al.; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US)

(21) International Application Number: PCT/US00/11410

**(22) International Filing Date:** 28 April 2000 (28.04.2000)

(25) Filing Language: English

**(26) Publication Language:** English

(30) Priority Data: 60/135,119 28 April 1999 (28.04.1999) US  
09/561,190 27 April 2000 (27.04.2000) US

**(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:**

US 09/561,190 (CIP)  
Filed on 27 April 2000 (27.04.2000)

(71) Applicant (for all designated States except US): TAR-GETED GENETICS CORPORATION [US/US]; Suite 100, 1100 Olive Way, Seattle, WA 98101 (US).

**(72) Inventors; and**

(75) **Inventors/Applicants (for US only):** **LOCKERT, Dara, H.** [US/US]; 5240 36th Avenue, N.E., Seattle, WA 98105 (US). **LYNCH, Carmel, M.** [US/US]; 15016 78th Avenue, N.E., Kenmore, WA 98028 (US).

(74) Agents: POLIZZI, Catherine, M. et al.; Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).

(81) Designated States (*national*): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- *With international search report.*
- *Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.*

(88) Date of publication of the international search report:  
22 March 2001

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**(54) Title: METHODS, COMPOSITIONS, AND CELLS FOR ENCAPSIDATING RECOMBINANT VECTORS IN AAV PARTICLES**

WO 00/65038 A3

P1  
 5' CGGGCGGGTGGTGGCGGCGGTTGGGGCTCGGC-----GCTCGCTCGCTCGCTGGGCGGGCGGGCGGT  
 || || || || || || || || || || || || || || || || || || || || || || || || || || || || ||  
 5' AGGAACCCTAGTGATGGAGTGGCCACTCCCTCTCTGCCGCGCTCGCTCGCTCACTGAGGCCGCCCC  
 145  
 AAV2 ITR 79

79

(57) **Abstract:** Isolated recombinant polynucleotides comprising elements which promote encapsidation into AAV particles, packaging cells comprising the recombinant polynucleotides, and methods for their use are provided in the present invention. These isolated recombinant polynucleotides comprise a non-AAV ITR encapsidation element (such as the P1 sequence located within the AAV S1 integration site of human chromosome 19) operably linked to one or more heterologous genes to be encapsidated. The constructs may be either integrated into a mammalian cell genome, maintained episomally, or provided transiently.

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 00/11410

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C12N15/864 C07K14/015 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C12N C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, STRAND, BIOSIS, EMBASE

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	URCELAY, E. ET AL.: "Asymmetric Replication In Vitro from a Human Sequence Element Is Dependent on Adeno-Associated Virus Rep Protein" JOURNAL OF VIROLOGY, vol. 69, no. 4, April 1995 (1995-04), pages 2038-2046, XP000608412 cited in the application abstract	15-24
A	page 2038, column 2, line 4 -page 2039, column 1, line 10 page 2039; figures 1,2 page 2041, column 2, line 1 -page 2042, column 2, line 26 page 2042, column 2, line 60 -page 2045, column 1, line 33 page 2045; figure 9 ----- -/-	1-14, 25-39

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

22 December 2000

Date of mailing of the international search report

15/01/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Fuchs, U

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/11410

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	ZHOU, X. & MUZYCZKA, N.: "In Vitro Packaging of Adeno-Associated Virus DNA" JOURNAL OF VIROLOGY, vol. 72, no. 4, April 1998 (1998-04), pages 3241-3247, XP002156311 abstract page 3241, column 1, line 1 -column 2, line 24 page 3242, column 2, line 65 -page 3243, column 2, line 49; figure 1 page 3244, column 1, line 36 -column 2, line 5; table 2 page 3246, column 2, line 33 -page 3247, column 1, line 2 page 3245, column 1, line 22 -column 2, line 30; table 4 ---	15-24
E	WO 00 53788 A (UNIVERSITE DE NANTES) 14 September 2000 (2000-09-14)  abstract page 1, line 1 - line 11 page 3, line 8 -page 4, line 8 page 6, line 1 - line 20 page 7, line 1 - line 22 page 9, line 32 -page 12, line 2 page 14, line 15 -page 15, line 4 page 16, line 9 -page 17, line 6 page 30 -page 32; examples 2,3 page 33 -page 40; examples 5,6 page 42, line 28 -page 44, line 20 page 51; claims 9-20,23-33 page 62 -page 64; figures 8A,8B,9,10 ---	1,5-7, 11-15, 19-21, 25-28, 30-33, 35-39
A	SALVETTI, A. ET AL.: "Factors Influencing Recombinant Adeno-Associated Virus Production" HUMAN GENE THERAPY, vol. 9, no. 5, 20 March 1998 (1998-03-20), pages 695-706, XP000946374 the whole document ---	1-39

# INTERNATIONAL SEARCH REPORT

## Information on patent family members

International Application No

PCT/US 00/11410

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0053788	A 14-09-2000	NONE	

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER: \_\_\_\_\_**

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**